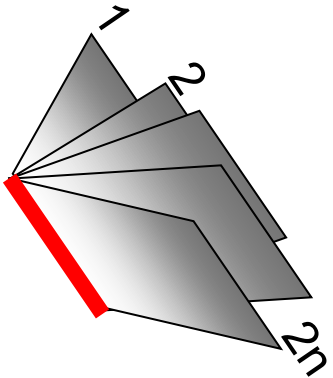

Entanglement and Shannon entropies in low-dimensional quantum systems

Grégoire Misguich Institut de Physique Théorique (IPhT)
CEA Saclay, France



Soutenance d'habilitation à diriger des recherches
de l'université P. et M. Curie
Vendredi 20 juin 2014

The jury



Benoît Douçot
LPTHE, Jussieu



Thierry Jolicoeur
LPTMS, Orsay



Tommaso Roscilde
ENS Lyon



Andreas Läuchli
ITP, Innsbruck
Referee



Phillipe Lecheminant
LPTM
Cergy-Pontoise
Referee



Pierre Pujol
IRSAMC,
Toulouse
Referee

Collaborators



Jean-Marie Stéphan
Charlottesville, VA
→ Dresden



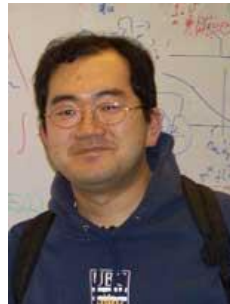
Vincent Pasquier
IPhT, Saclay



Fabien Alet
IRSAMC, LPT
Université de Toulouse



Shunsuke Furukawa
Tokyo University



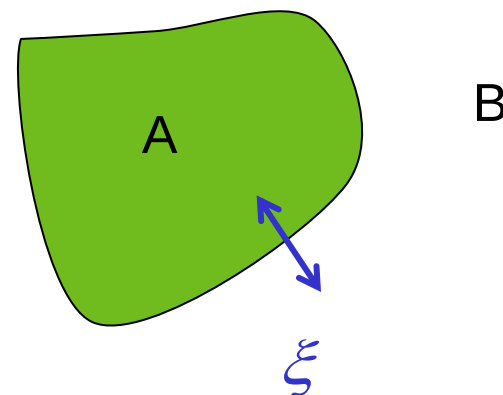
Masaki Oshikawa
ISSP, Tokyo University



Yuta Kumano
ISSP, Tokyo University

Introduction: entanglement and boundary law

- Why studying entanglement (in condensed matter) ?
 - A theoretical probe for quantum many-body wave-functions
 - The scaling of the entanglement entropy can reveals some long-distance properties of the system.
 - Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational anstaz.



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveals some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

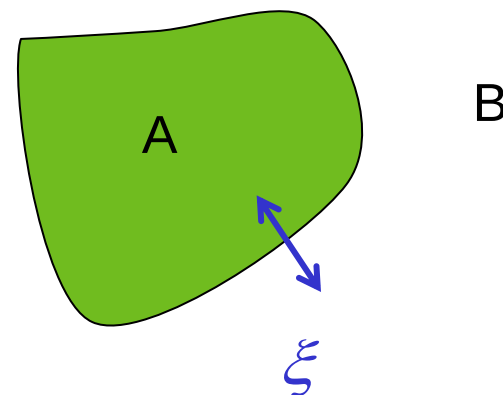
$$|\psi\rangle \in H_A \otimes H_B$$

Reduced density matrix

$$\rho_A = \text{Tr}_B |\psi\rangle\langle\psi|$$

Von Neumann entropy

$$S_A = -\text{Tr}_A [\rho_A \log \rho_A]$$



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

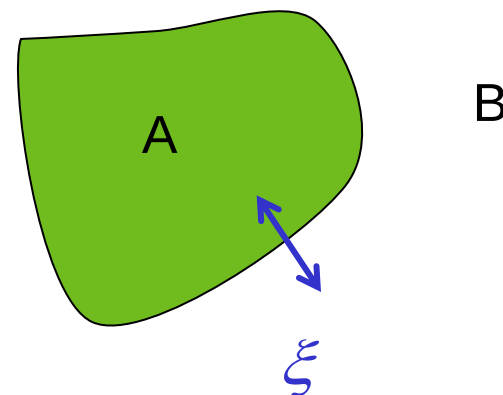
$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \end{aligned}$$

Reduced density matrix

$$\rho_A = \text{Tr}_B |\psi\rangle\langle\psi|$$

Von Neumann entropy

$$S_A = -\text{Tr}_A [\rho_A \log \rho_A]$$



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

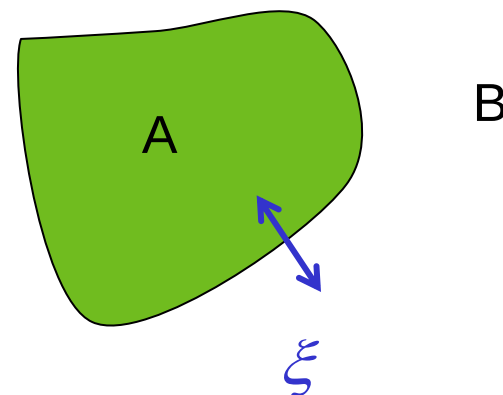
$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \\ \langle \varphi_i^A | \varphi_j^A \rangle &= \delta_{ij} \quad , \quad \langle \varphi_i^B | \varphi_j^B \rangle = \delta_{ij} \end{aligned}$$

Reduced density matrix

$$\rho_A = \text{Tr}_B |\psi\rangle\langle\psi|$$

Von Neumann entropy

$$S_A = -\text{Tr}_A [\rho_A \log \rho_A]$$



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

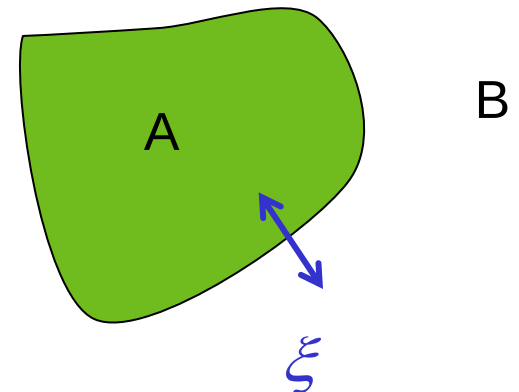
$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \\ \langle \varphi_i^A | \varphi_j^A \rangle &= \delta_{ij} \quad , \quad \langle \varphi_i^B | \varphi_j^B \rangle = \delta_{ij} \end{aligned}$$

Reduced density matrix

$$\begin{aligned} \rho_A &= \text{Tr}_B |\psi\rangle\langle\psi| \\ &= \sum_i p_i |\varphi_i^A\rangle\langle\varphi_i^A| \end{aligned}$$

Von Neumann entropy

$$S_A = -\text{Tr}_A [\rho_A \log \rho_A]$$



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

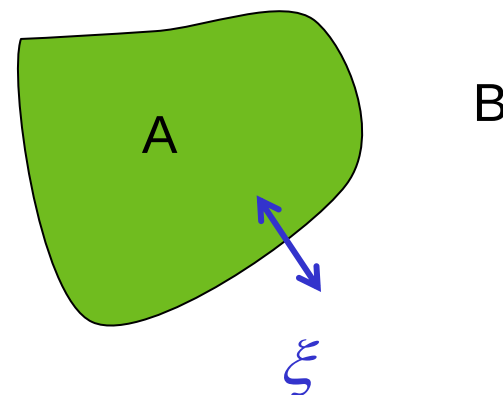
$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \\ \langle \varphi_i^A | \varphi_j^A \rangle &= \delta_{ij} \quad , \quad \langle \varphi_i^B | \varphi_j^B \rangle = \delta_{ij} \end{aligned}$$

Reduced density matrix

$$\begin{aligned} \rho_A &= \text{Tr}_B |\psi\rangle\langle\psi| \\ &= \sum_i p_i |\varphi_i^A\rangle\langle\varphi_i^A| \end{aligned}$$

Von Neumann entropy

$$\begin{aligned} S_A &= -\text{Tr}_A [\rho_A \log \rho_A] \\ &= -\sum_i p_i \log(p_i) \end{aligned}$$



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \\ \langle \varphi_i^A | \varphi_j^A \rangle &= \delta_{ij} \quad , \quad \langle \varphi_i^B | \varphi_j^B \rangle = \delta_{ij} \end{aligned}$$

Reduced density matrix

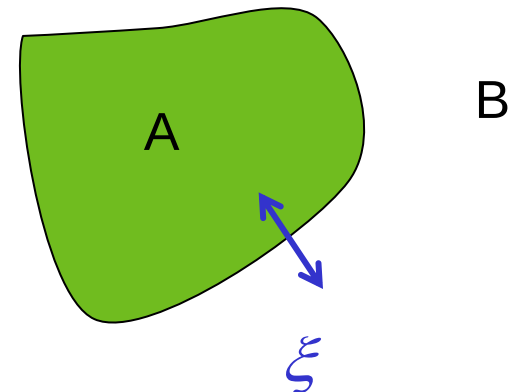
$$\begin{aligned} \rho_A &= \text{Tr}_B |\psi\rangle\langle\psi| \\ &= \sum_i p_i |\varphi_i^A\rangle\langle\varphi_i^A| \end{aligned}$$

Von Neumann entropy

$$\begin{aligned} S_A &= -\text{Tr}_A [\rho_A \log \rho_A] \\ &= -\sum_i p_i \log(p_i) \end{aligned}$$

□ Area/Boundary law

Low-energy states of local Hamiltonians are “weakly” entangled (compared to random or high energy states)



Introduction: entanglement and boundary law

□ Why studying entanglement (in condensed matter) ?

- A theoretical probe for quantum many-body wave-functions
- The scaling of the entanglement entropy can reveal some long-distance properties of the system.
- Understanding entanglement is useful to construct new algorithms to store efficiently quantum states in a computer, or construct some variational ansatz.

□ Definitions

Wave function

$$\begin{aligned} |\psi\rangle &\in H_A \otimes H_B \\ &= \sum_i \sqrt{p_i} |\varphi_i^A\rangle \otimes |\varphi_i^B\rangle \\ \langle \varphi_i^A | \varphi_j^A \rangle &= \delta_{ij} \quad , \quad \langle \varphi_i^B | \varphi_j^B \rangle = \delta_{ij} \end{aligned}$$

Reduced density matrix

$$\begin{aligned} \rho_A &= \text{Tr}_B |\psi\rangle\langle\psi| \\ &= \sum_i p_i |\varphi_i^A\rangle\langle\varphi_i^A| \end{aligned}$$

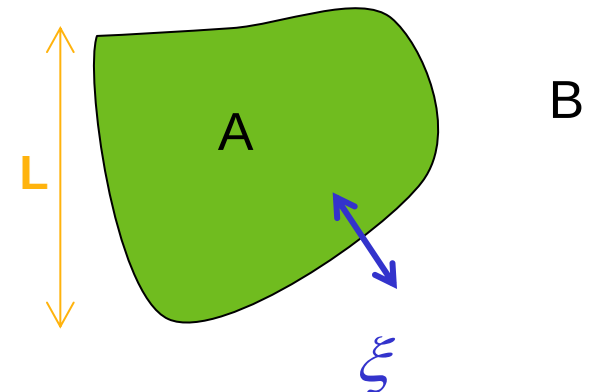
Von Neumann entropy

$$\begin{aligned} S_A &= -\text{Tr}_A [\rho_A \log \rho_A] \\ &= -\sum_i p_i \log(p_i) \end{aligned}$$

□ Area/Boundary law

Low-energy states of local Hamiltonians are “weakly” entangled (compared to random or high energy states)

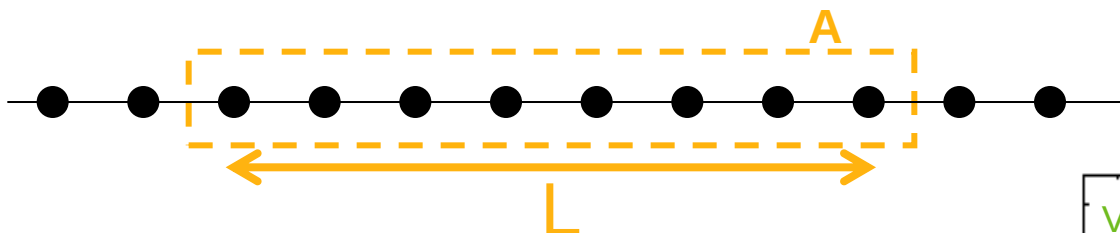
$$S_A \propto L^{d-1}$$



Introduction: violations of the boundary law

- Critical 1d systems

Holzhey, Larsen, Wilczek 1994
Calabrese, Cardy 2004

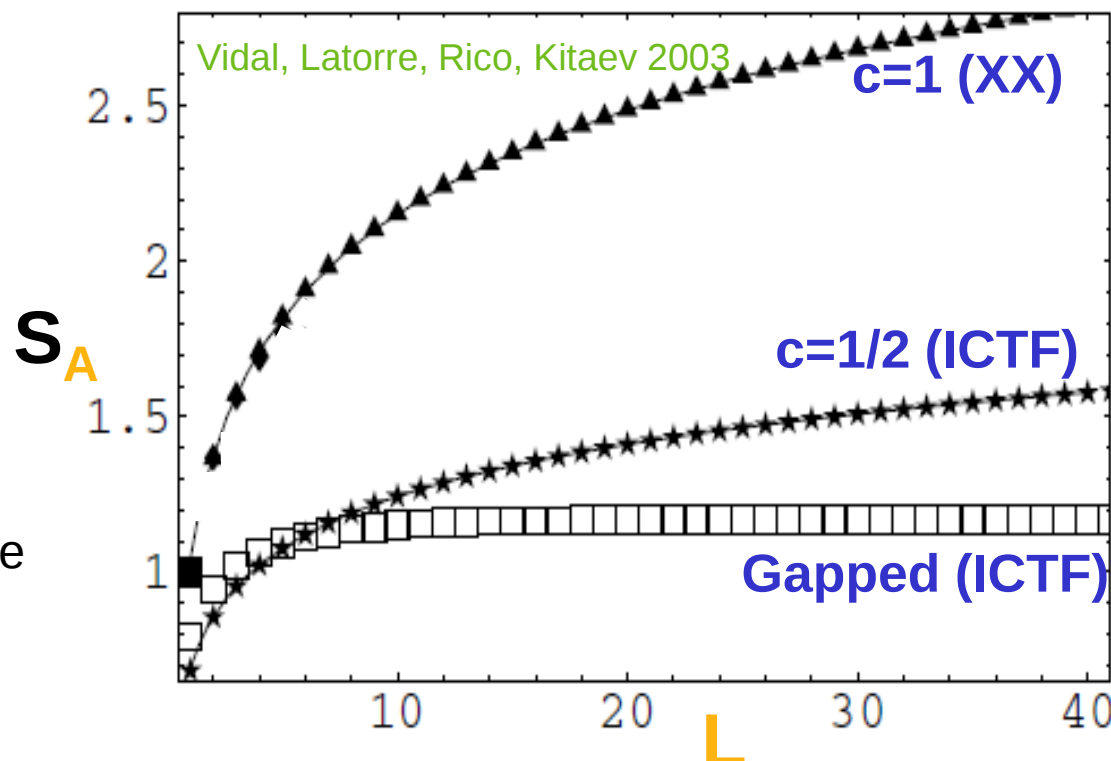


$$S_A(L) \approx \frac{c}{3} \log(L) + O(1)$$

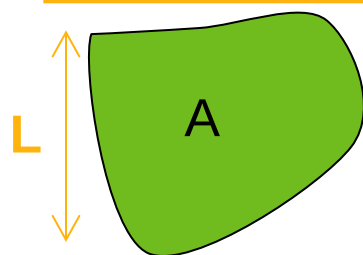
- Systems with a Fermi surface in dimension d:

$$S_A \approx L^{d-1} \log(L)$$

Wolf 2006; Gioev & Klich 2006



Introduction: subleading corrections to the boundary law



Boundary law

$$S_A(L) \approx \alpha L + \begin{cases} \gamma \\ \beta \log(L) \end{cases} \quad (d=2)$$

- ❑ Discrete symmetry breaking $\rightarrow \gamma = \log(\text{deg})$
- ❑ Goldstone modes $\rightarrow \beta \sim \frac{1}{2} \# \text{modes}$ Metlitsky & Grover 2011
- ❑ “Topologically ordered” states: $\gamma = -\log(D_{\text{tot}})$ Levin Wen 2006; Kitaev Preskill 2006
 - ❑ Gapped, no broken symmetry, no local order parameter, no “conventional order”
 - ❑ But... ground state degeneracy which depends on the topology
The degenerate ground states cannot be distinguished by any local observable
 - ❑ *Fractionalized* excitations
 - ❑ Examples: **fractional quantum Hall** fluids, some “RVB” **spin liquids**, ...
 - ❑ Example: FQHE Laughlin $\nu=1/3 \rightarrow \gamma = -\log(3)$
- ❑ Critical states

Casini & Huerta 2007;

Metlitski, Fuertes, Sachdev 2009, Grover 2014

+ this work

How to compute the entanglement entropy ?

□ 1+1d CFT

Entanglement entropy of an interval $\rightarrow$ correlator of twist fields Calabrese, Cardy 2004

□ Free fermions / free bosons

Reduced density is completely determined by two-point function:

$$\left\langle c_i^+ c_j \right\rangle_{ij \in A} \xrightarrow{\text{Wick}} \rho_A \sim \exp\left(\sum_{ij} h_{ij} c_i^+ c_j\right) \quad \text{Peschel 2003}$$

□ Numerics

DMRG in 1D or 2D

Tensor network states in 2D (PEPS, ...)

Exact diagonalizations (lattice spin systems, FQHE, ...)

Quantum Monte Carlo (if no sign problem + integer Rényi index > 1)

Hastings et al. 2010
Humeniuk & Roscilde 2012

□ Rokhsar-Kivelson states

This work

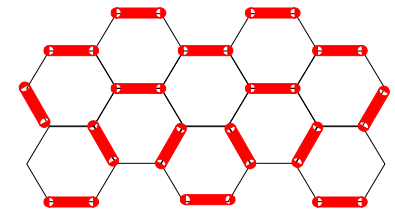
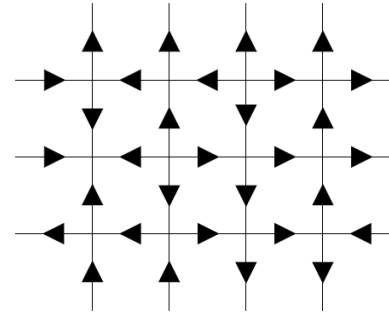
Rokhsar-Kivelson states

Rokhsar & Kivelson 1988; Henley 2004

- Start from a 2d classical (stat. mech) system with short-ranged interactions.
- $$Z = \sum_c e^{-E(c)}$$

- Examples :

- Ising model
- (6-,8-) vertex models
- hard-core dimer model
- ...



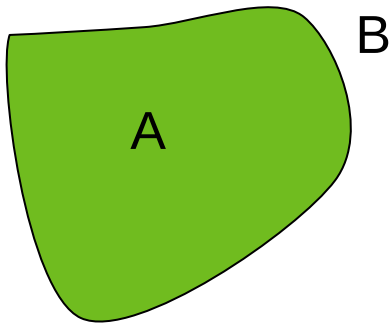
- Promote the classical configurations to orthogonal basis states, and the partition function above to a *wave-function* :

$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle$$

- one can import some knowledge about 2D stat. mech to build tractable quantum models (observable which are diagonal in the “classical” basis have the same expectation values in the RK state and in the classical models)
- It is the ground-state of some local Hamiltonian
- some non-RK wave functions can be approximated by RK states
- Entanglement properties are simple !
- (and make contact other classical entropies)

Rokhsar-Kivelson states: Schmidt decomposition

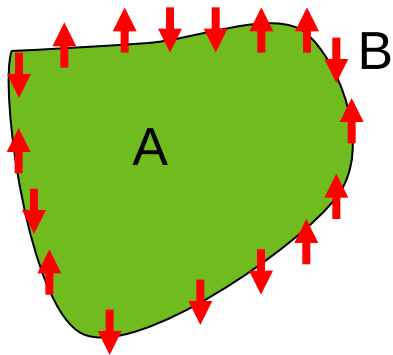
$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle$$



Rokhsar-Kivelson states: Schmidt decomposition

$$\begin{aligned} |\Psi\rangle &= \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle \\ &= \frac{1}{\sqrt{Z}} \sum_i \left(\sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right) \left(\sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right) \end{aligned}$$

Boundary configuration i



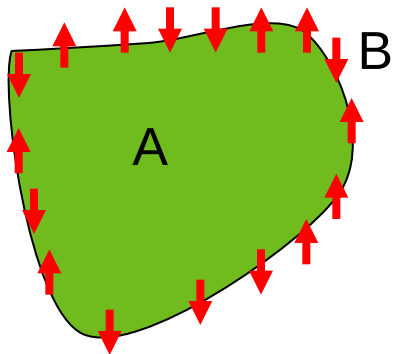
Rokhsar-Kivelson states: Schmidt decomposition

$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle$$

$$= \frac{1}{\sqrt{Z}} \sum_i \left(\sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right) \left(\sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)$$

$$= \sum_i \sqrt{p_i} \underbrace{\left(\frac{1}{\sqrt{Z_i^A}} \sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right)}_{|RK_i^A\rangle} \underbrace{\left(\frac{1}{\sqrt{Z_i^B}} \sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)}_{|RK_i^B\rangle}$$

Boundary configuration **i**



$$p_i = \frac{Z_i^A Z_i^B}{Z} \text{ classical probability of } \mathbf{i}$$

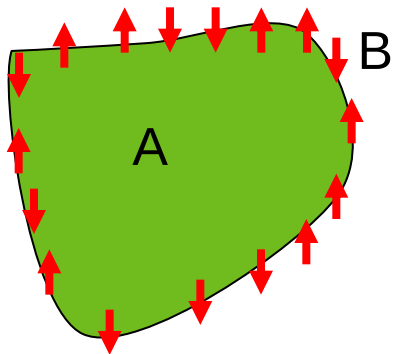
Rokhsar-Kivelson states: Schmidt decomposition

$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle$$

$$= \frac{1}{\sqrt{Z}} \sum_i \left(\sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right) \left(\sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)$$

$$= \sum_i \sqrt{p_i} \underbrace{\left(\frac{1}{\sqrt{Z_i^A}} \sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right)}_{|RK_i^A\rangle} \underbrace{\left(\frac{1}{\sqrt{Z_i^B}} \sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)}_{|RK_i^B\rangle}$$

Boundary configuration **i**



$$p_i = \frac{Z_i^A Z_i^B}{Z}$$

classical probability of **i**

=exact Schmidt decomposition
of a (constrained) RK state $\langle RK_i^A | RK_j^A \rangle = \delta_{ij}$

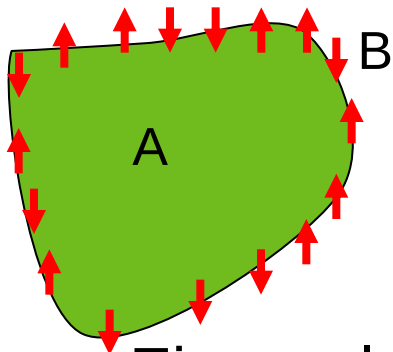
Rokhsar-Kivelson states: Schmidt decomposition

$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_c e^{-\frac{1}{2}E(c)} |c\rangle$$

$$= \frac{1}{\sqrt{Z}} \sum_i \left(\sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right) \left(\sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)$$

$$= \sum_i \sqrt{p_i} \underbrace{\left(\frac{1}{\sqrt{Z_i^A}} \sum_a e^{-\frac{1}{2}E^A(a,i)} |a\rangle \right)}_{|RK_i^A\rangle} \underbrace{\left(\frac{1}{\sqrt{Z_i^B}} \sum_b e^{-\frac{1}{2}E^B(b,i)} |b\rangle \right)}_{|RK_i^B\rangle}$$

Boundary configuration **i**



=exact Schmidt decomposition
of a (constrained) RK state $\langle RK_i^A | RK_j^A \rangle = \delta_{ij}$

$$p_i = \frac{Z_i^A Z_i^B}{Z} \quad \text{classical probability of } \mathbf{i}$$

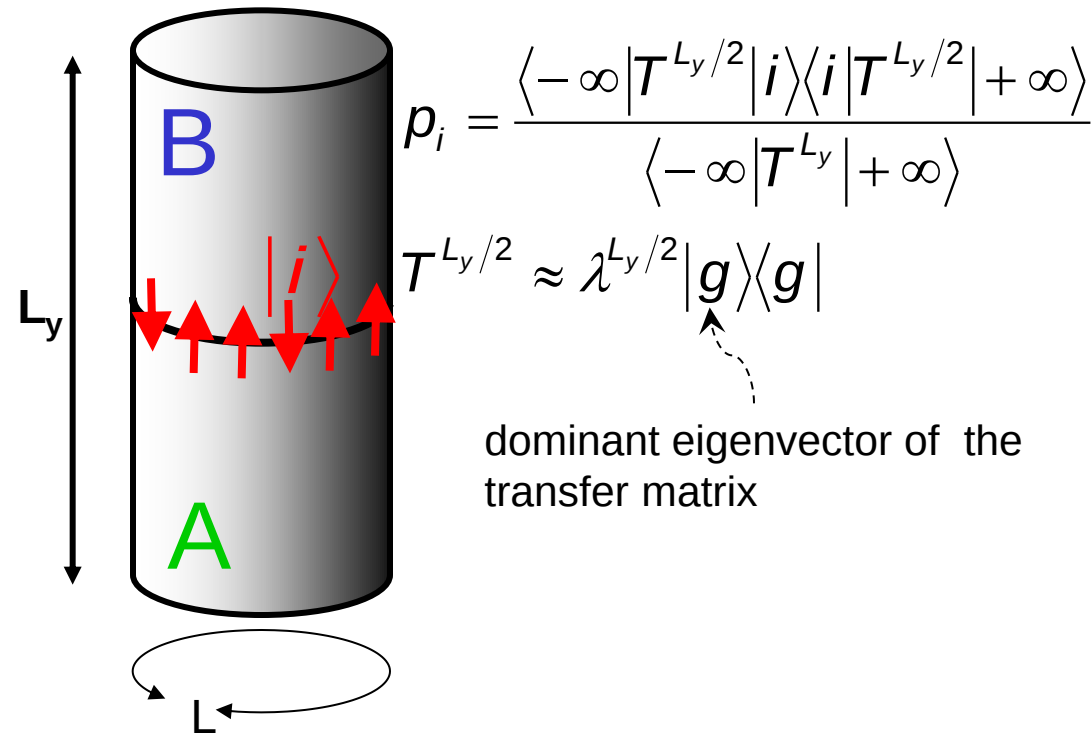
Eigenvalues of ρ_A = classical boundary probabilities

The entanglement Hamiltonian is classical & acts on boundary degrees of freedom

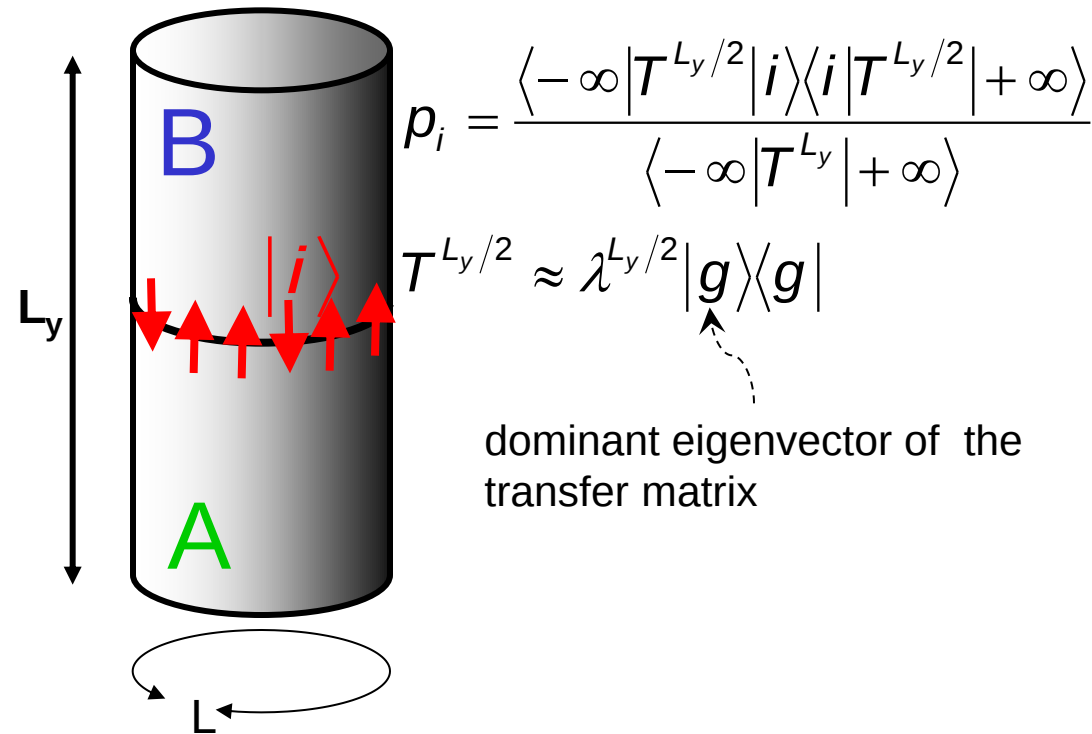
$$S_A = -\sum_i p_i \log(p_i) \rightarrow \text{boundary law}$$

Furukawa & GM 2007; Stéphan et al. 2009.

Rokhsar-Kivelson states: long cylinders & transfer matrix



Rokhsar-Kivelson states: long cylinders & transfer matrix



$$p_i = \frac{\langle -\infty | T^{L_y/2} | i \rangle \langle i | T^{L_y/2} | +\infty \rangle}{\langle -\infty | T^{L_y} | +\infty \rangle}$$

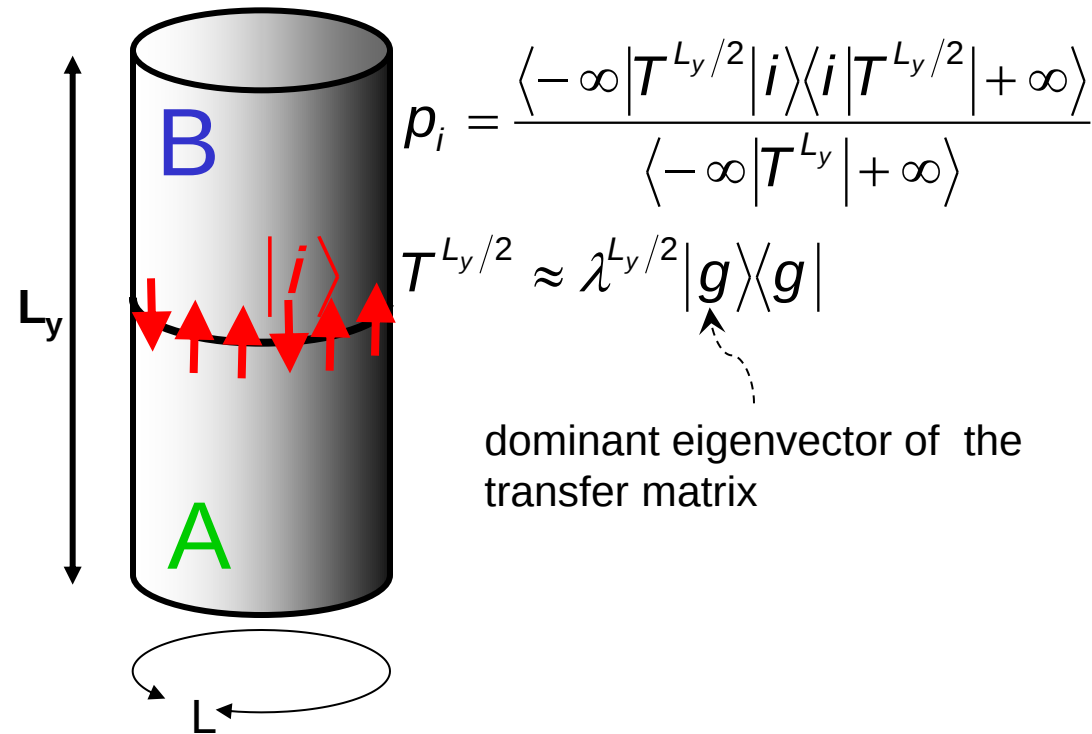
$$T^{L_y/2} \approx \lambda^{L_y/2} |g\rangle \langle g|$$

dominant eigenvector of the transfer matrix

□ When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

$$p_i = |\langle i | g \rangle|^2$$

Rokhsar-Kivelson states: long cylinders & transfer matrix



$$p_i = \frac{\langle -\infty | T^{L_y/2} | i \rangle \langle i | T^{L_y/2} | +\infty \rangle}{\langle -\infty | T^{L_y} | +\infty \rangle}$$

$$T^{L_y/2} \approx \lambda^{L_y/2} |g\rangle\langle g|$$

dominant eigenvector of the transfer matrix

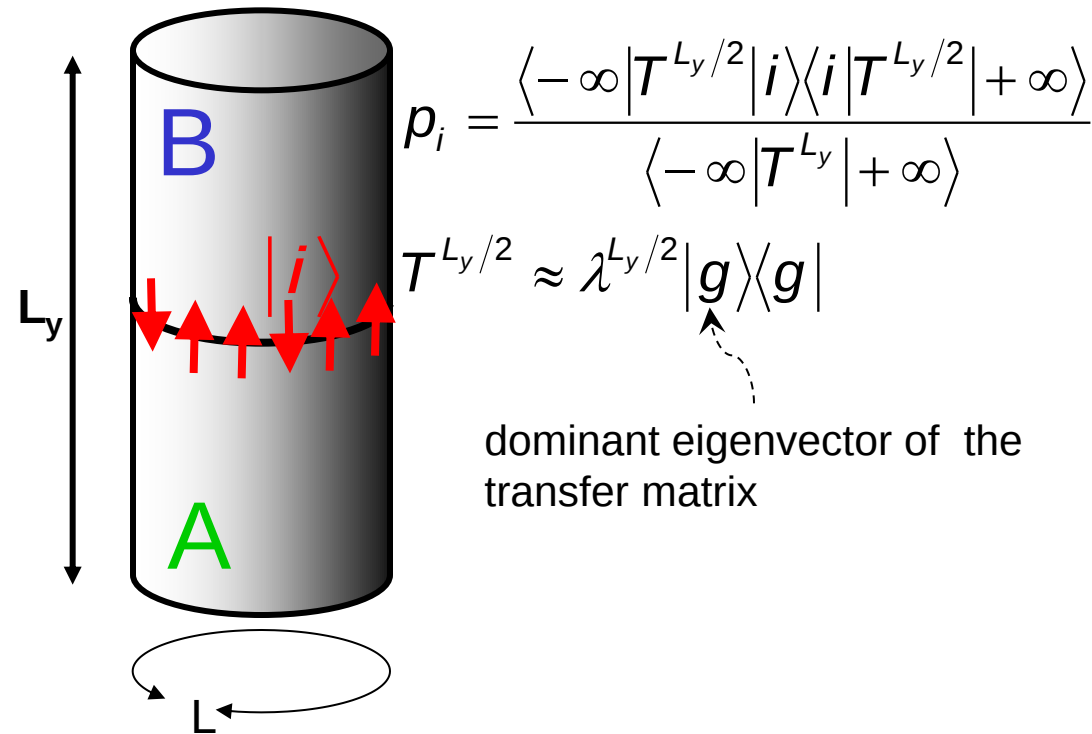
□ When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

$$p_i = |\langle i | g \rangle|^2$$

□ Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$

Rokhsar-Kivelson states: long cylinders & transfer matrix

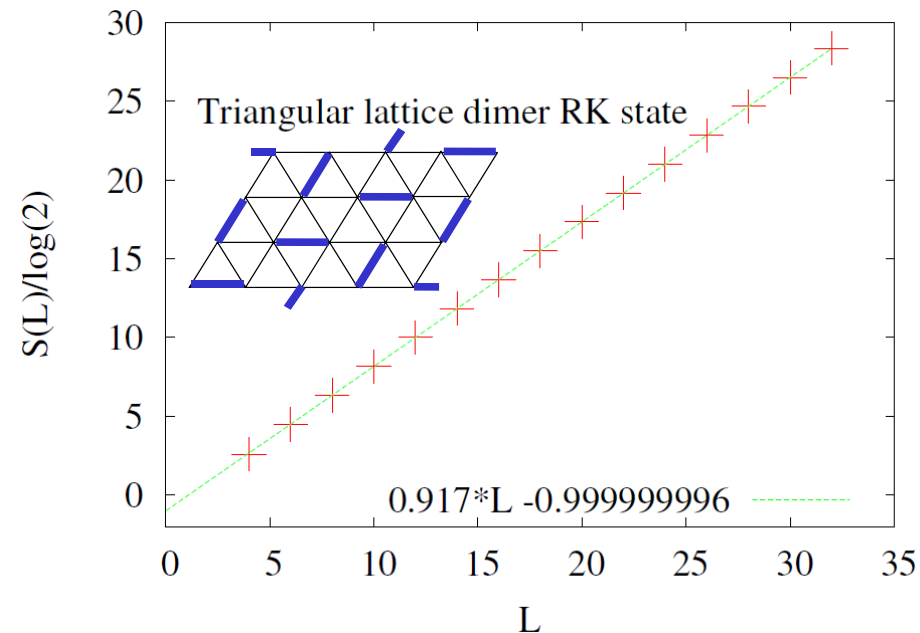


When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

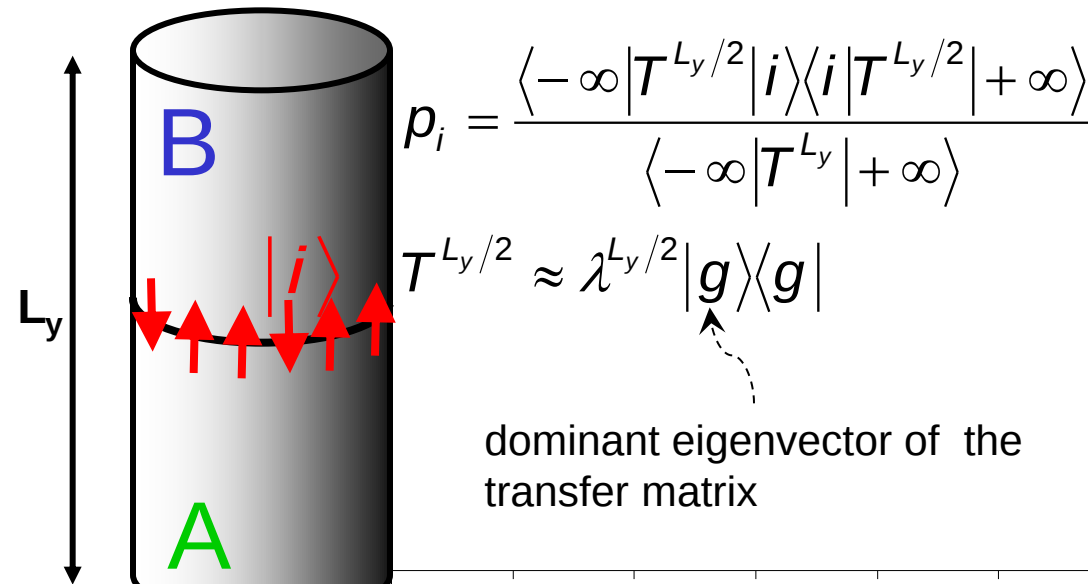
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$



Rokhsar-Kivelson states: long cylinders & transfer matrix

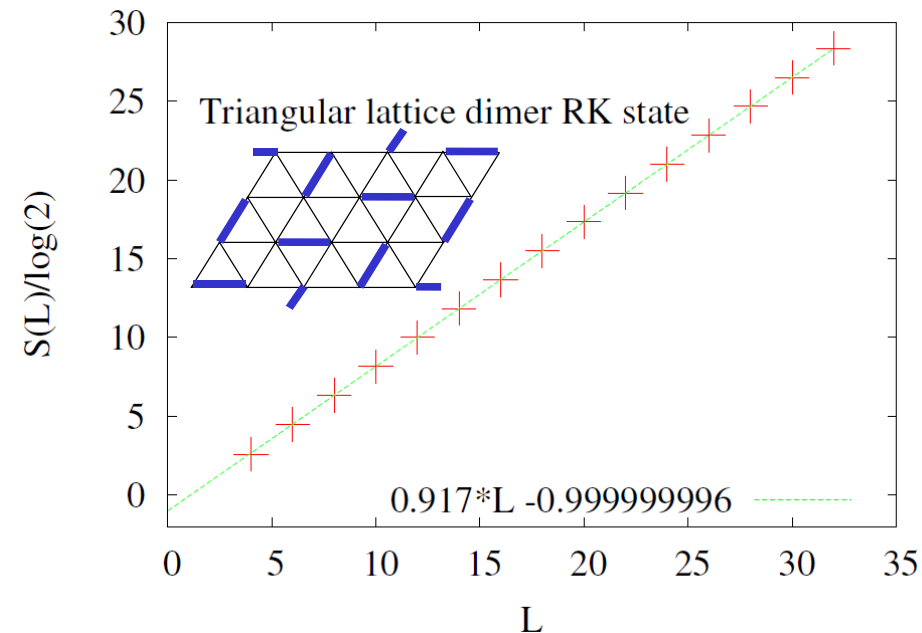
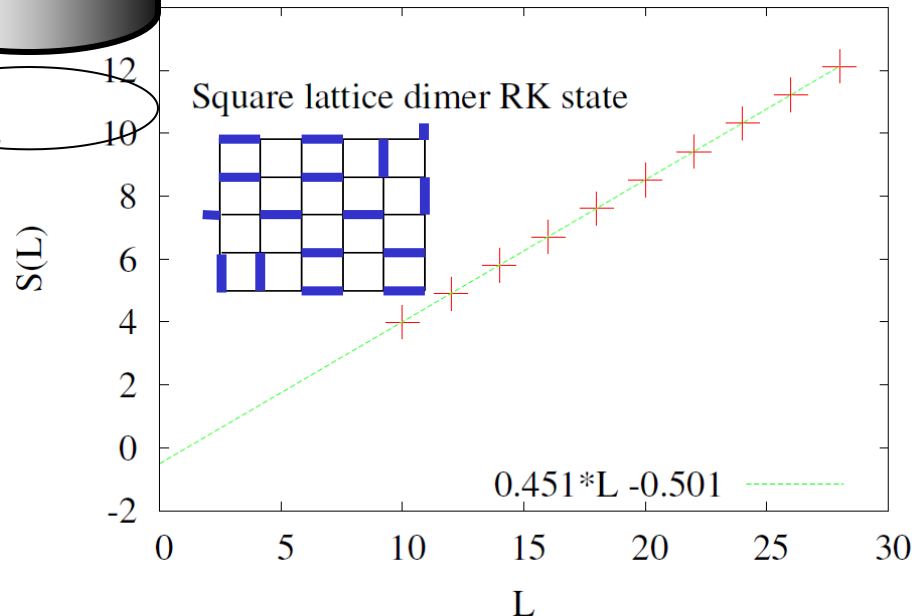


When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

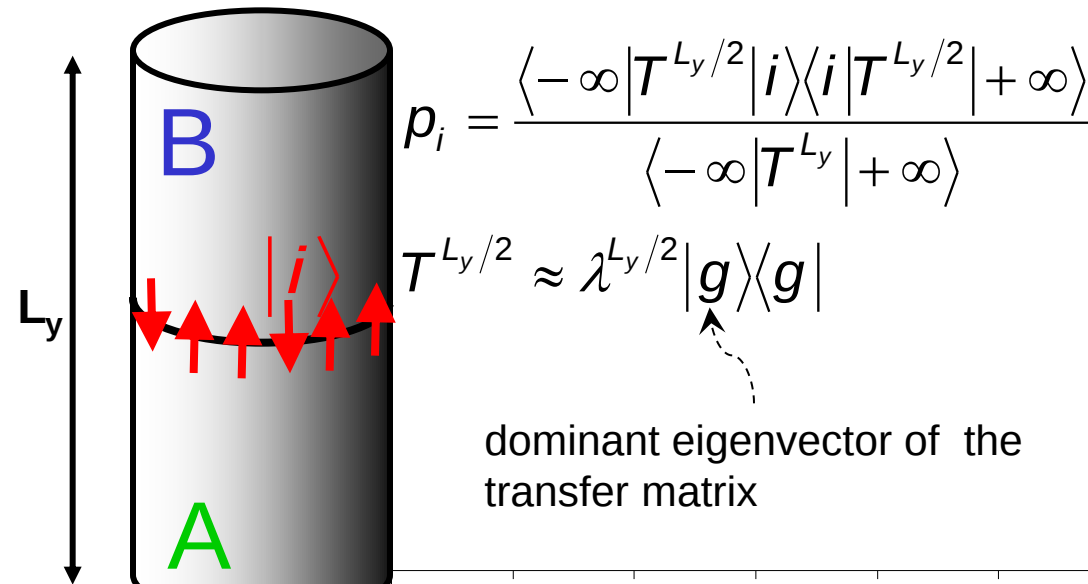
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$



Rokhsar-Kivelson states: long cylinders & transfer matrix

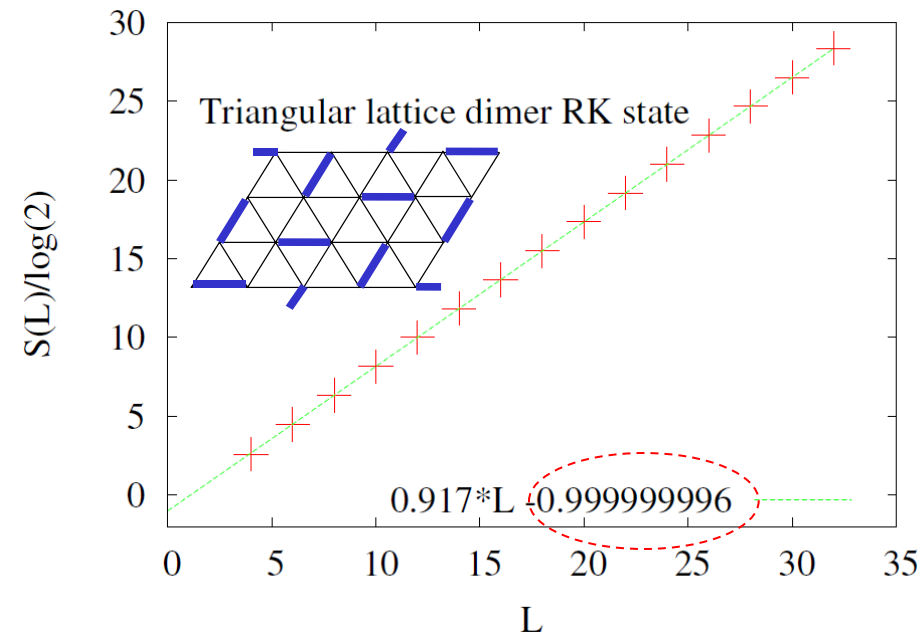
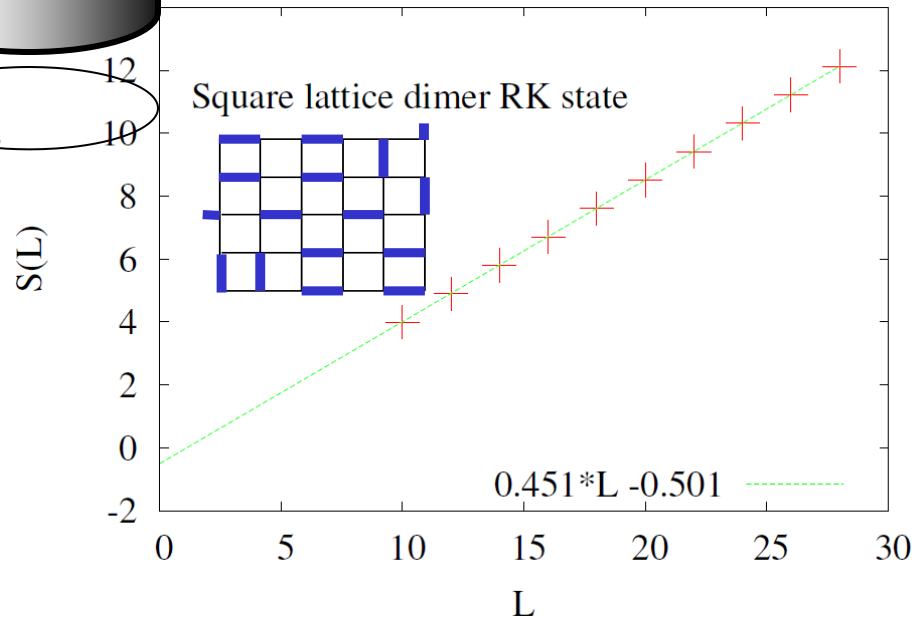


When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

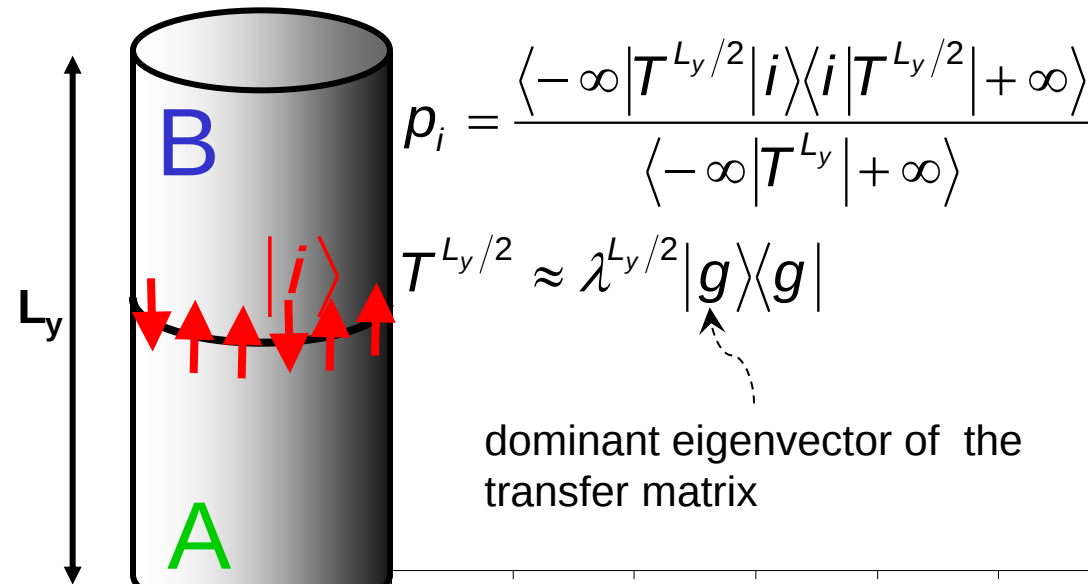
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$



Rokhsar-Kivelson states: long cylinders & transfer matrix



$$p_i = \frac{\langle -\infty | T^{L_y/2} | i \rangle \langle i | T^{L_y/2} | +\infty \rangle}{\langle -\infty | T^{L_y} | +\infty \rangle}$$

$$T^{L_y/2} \approx \lambda^{L_y/2} |g\rangle \langle g|$$

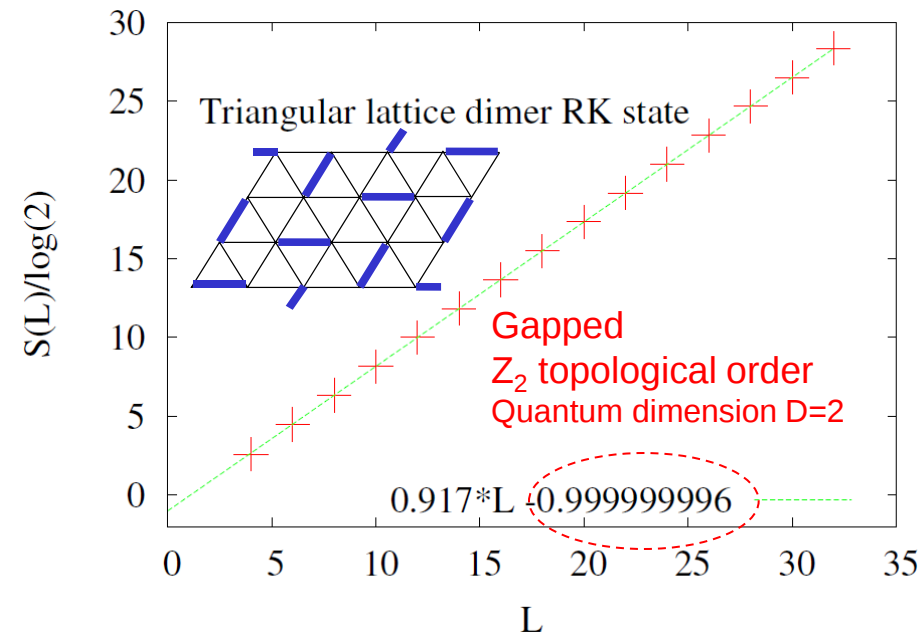
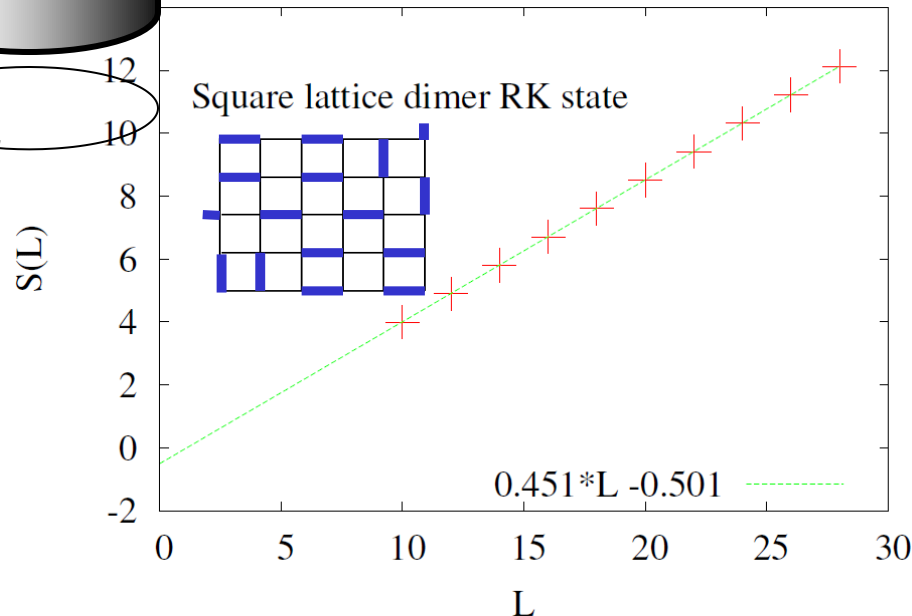
dominant eigenvector of the transfer matrix

When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

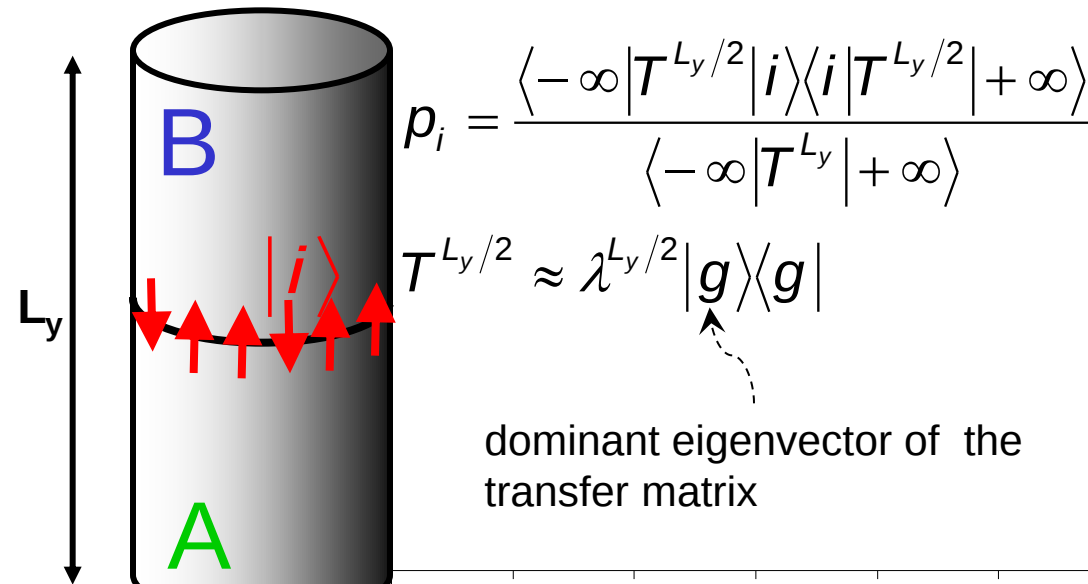
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$



Rokhsar-Kivelson states: long cylinders & transfer matrix

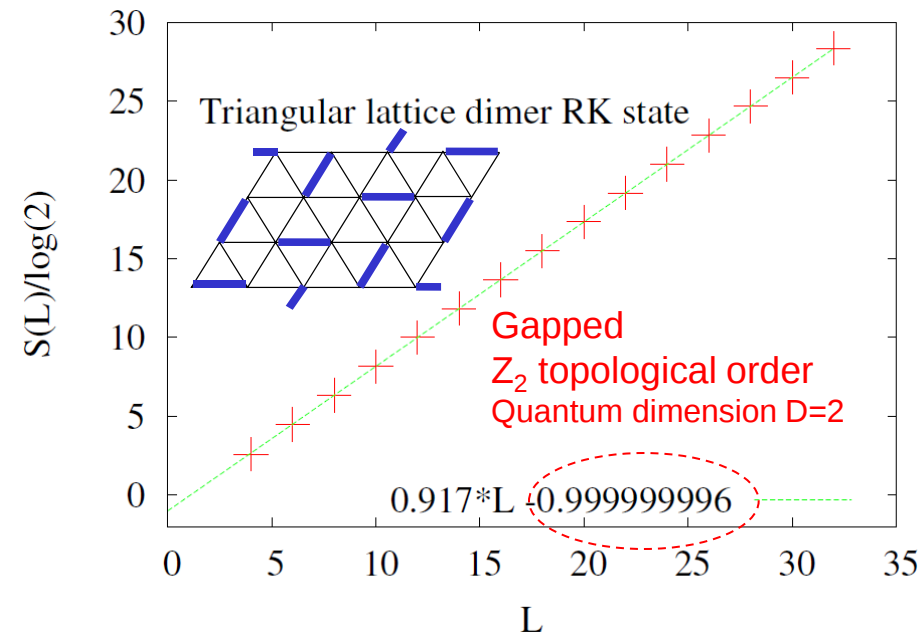
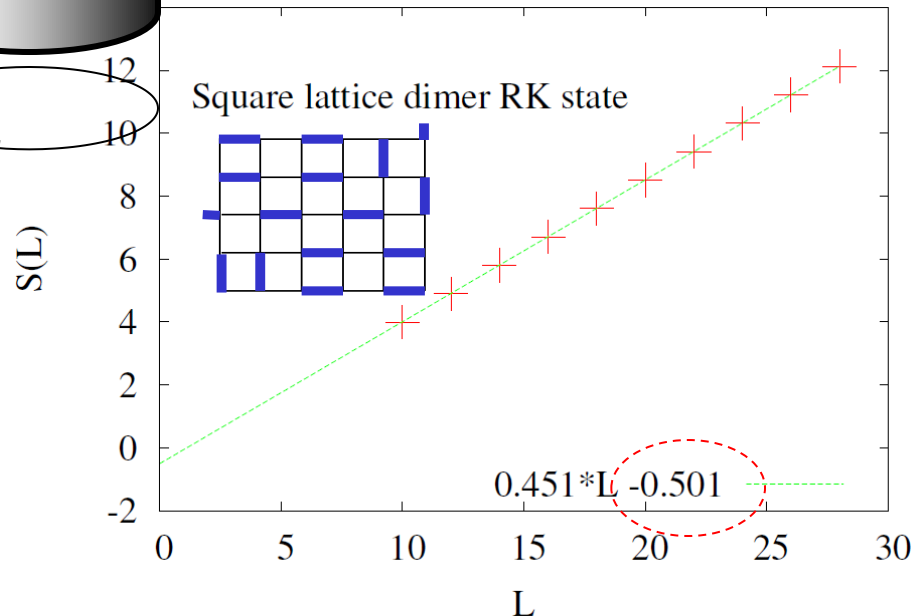


When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

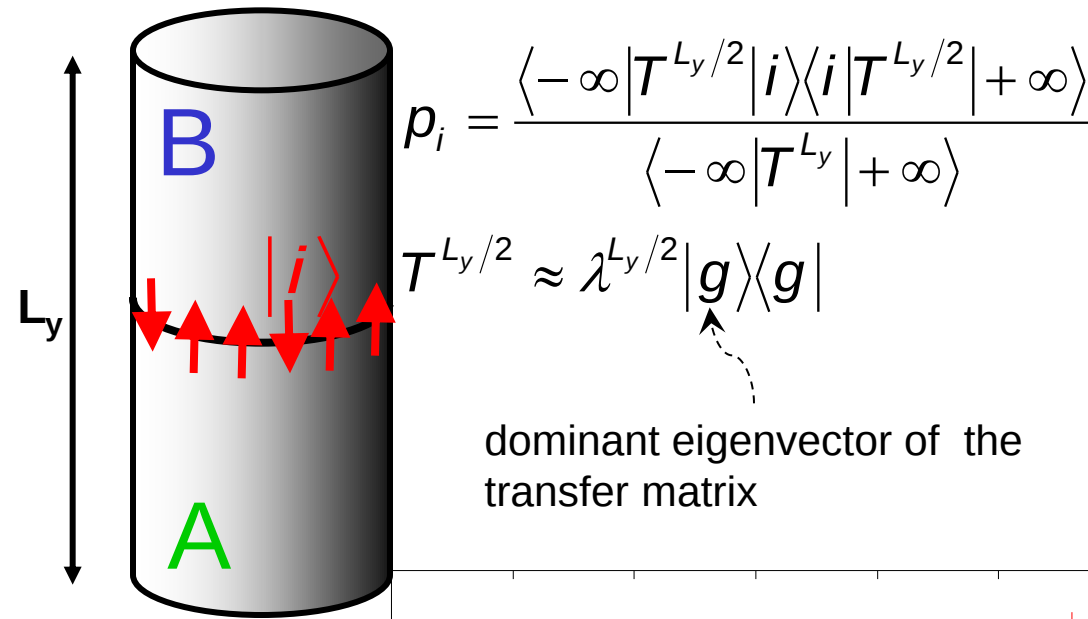
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

$$S(L) = -\sum_i p_i \log(p_i)$$



Rokhsar-Kivelson states: long cylinders & transfer matrix

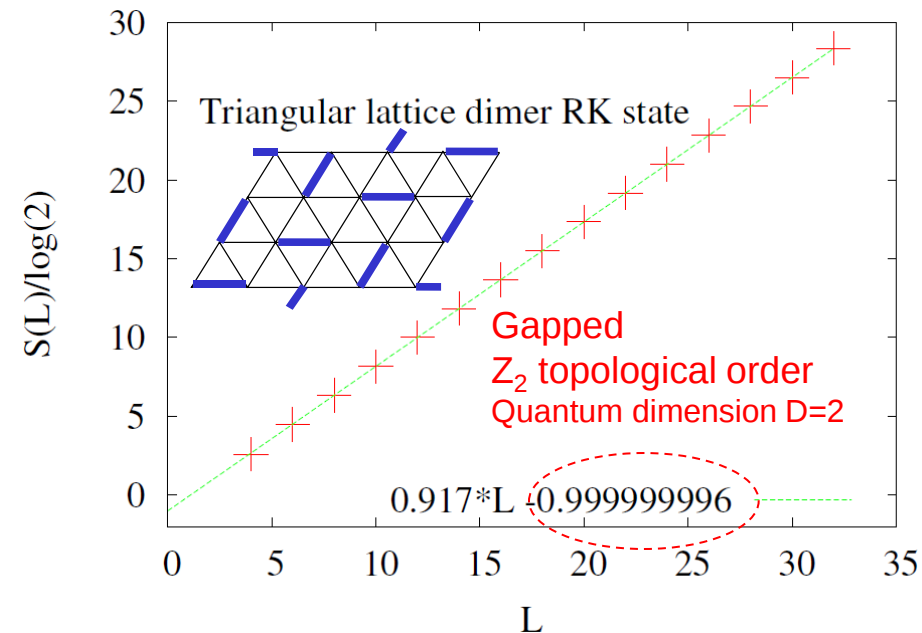
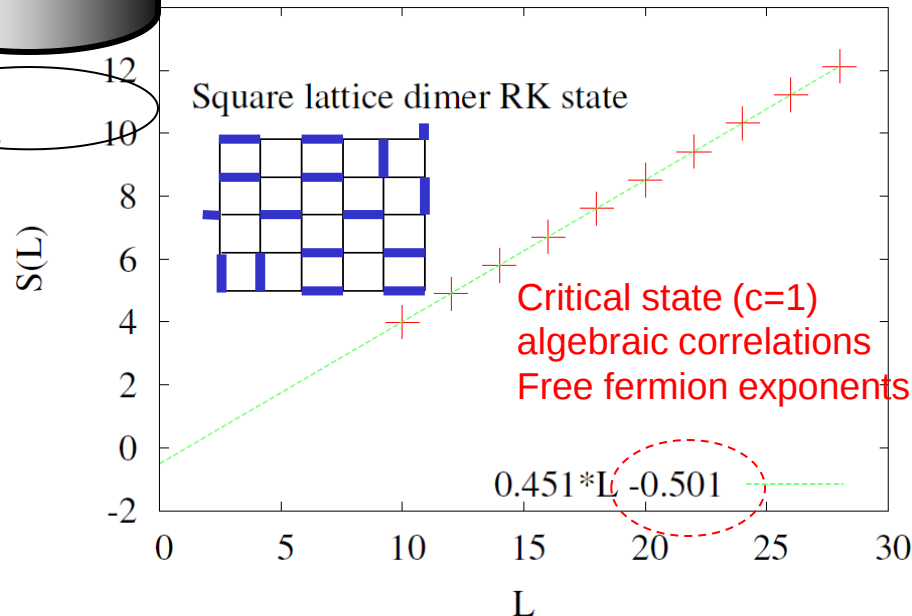


When $L_y \rightarrow \infty$ the probability of a boundary configuration $|i\rangle$ is :

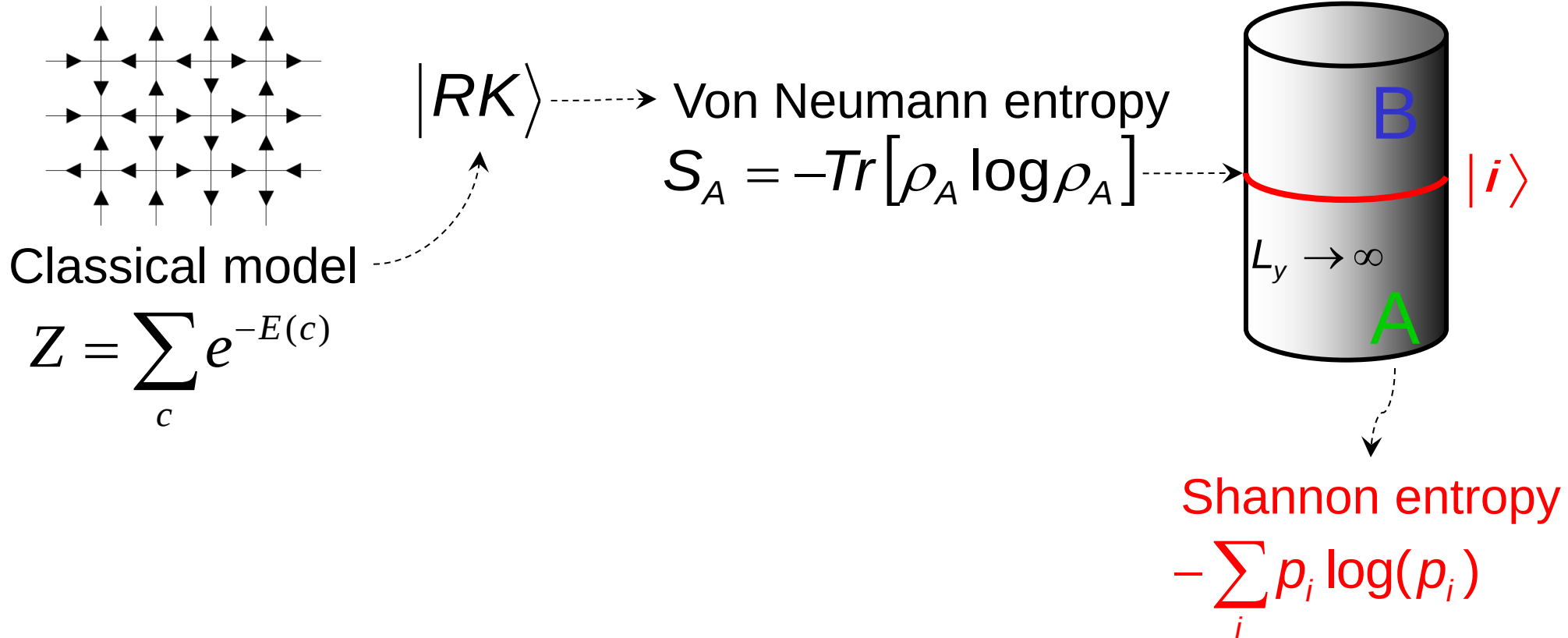
$$p_i = |\langle i | g \rangle|^2$$

Entanglement entropy

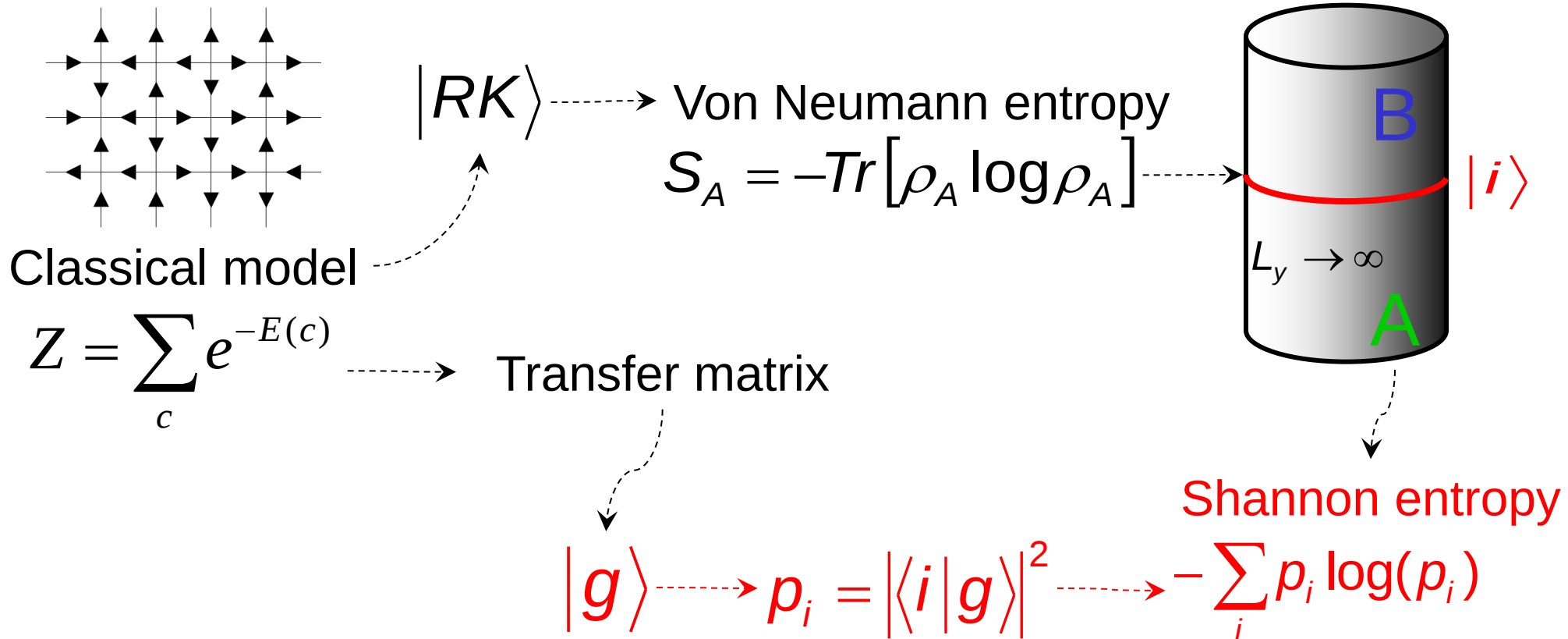
$$S(L) = -\sum_i p_i \log(p_i)$$



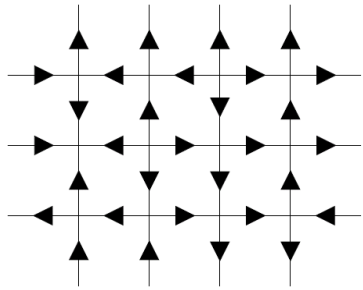
Von Neumann entropy, classical entropy, Shannon entropy



Von Neumann entropy, classical entropy, Shannon entropy



Von Neumann entropy, classical entropy, Shannon entropy



Classical model

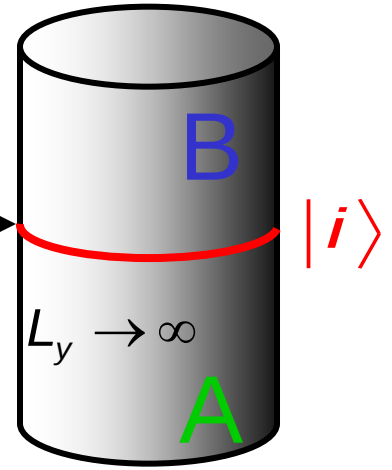
$$Z = \sum_c e^{-E(c)}$$

Transfer matrix

$|RK\rangle$

Von Neumann entropy

$$S_A = -\text{Tr}[\rho_A \log \rho_A]$$



Shannon entropy

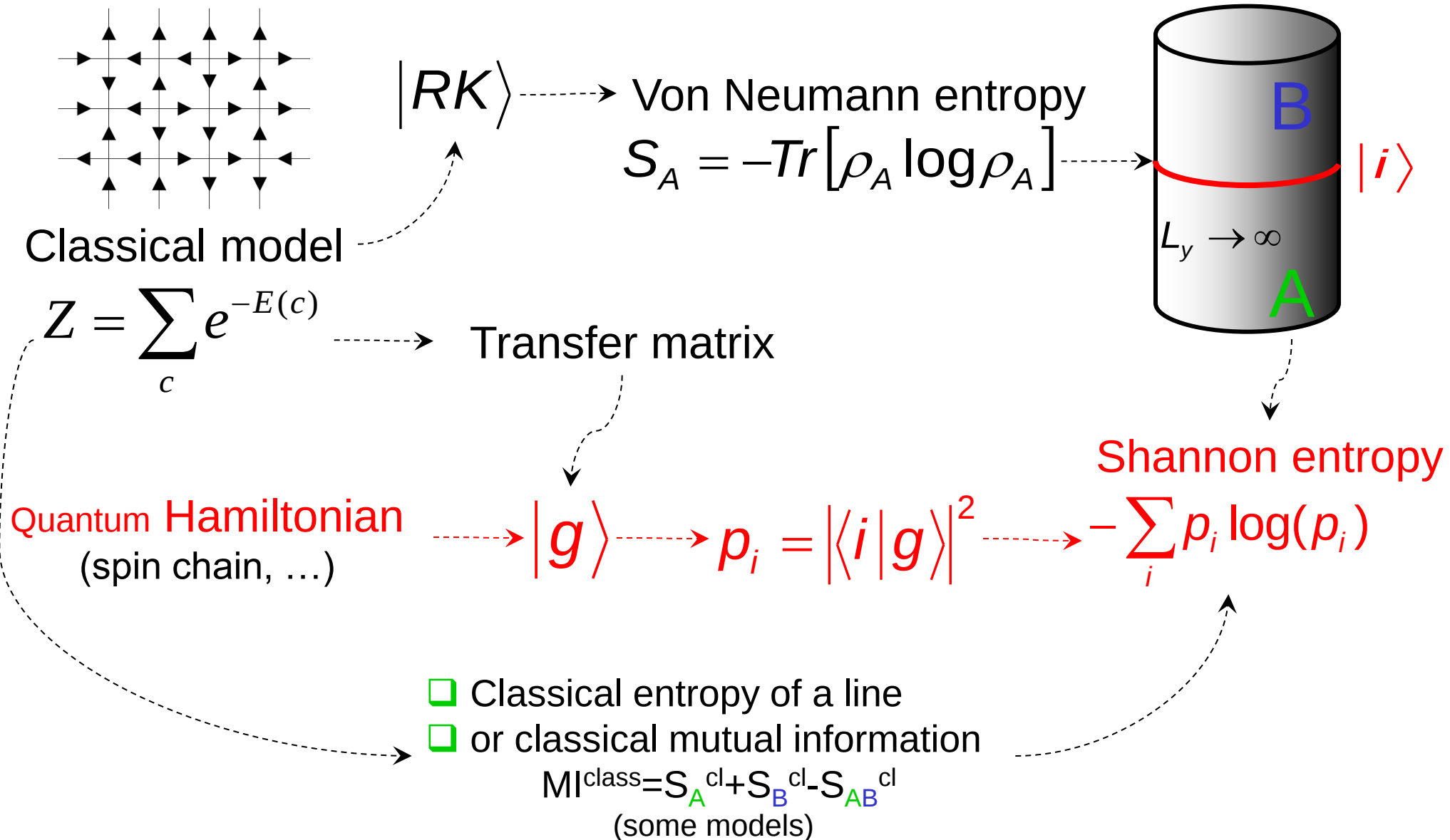
$$|g\rangle \rightarrow p_i = |\langle i | g \rangle|^2 \rightarrow -\sum_i p_i \log(p_i)$$

- Classical entropy of a line
- or classical mutual information

$$\text{MI}^{\text{class}} = S_A^{\text{cl}} + S_B^{\text{cl}} - S_{AB}^{\text{cl}}$$

(some models)

Von Neumann entropy, classical entropy, Shannon entropy



Shannon entropies of wave-functions

- ❑ 1d XXZ spin chain & Luttinger liquids
- ❑ 1d quantum Ising chain
- ❑ 2d systems with Goldstone modes

Shannon entropy of an XXZ ground state – definition

$$|g\rangle = \sum_{i=1}^{2^L} \psi_i |i\rangle \quad \text{ground-state of } H = -\sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + \Delta \sum_i S_i^z S_{i+1}^z$$

$$|i\rangle = |\downarrow\uparrow\uparrow\downarrow\cdots\rangle, \dots \quad \text{"Ising configurations"} \quad S_i^z = \pm \frac{1}{2}$$

$$p_i = |\langle i|g\rangle|^2 \quad \sum_i p_i = 1 \quad \text{probabilities (normalized)}$$

Shannon entropy of an XXZ ground state – definition

$$|g\rangle = \sum_{i=1}^{2^L} \psi_i |i\rangle \quad \text{ground-state of } H = -\sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + \Delta \sum_i S_i^z S_{i+1}^z$$

$$|i\rangle = |\downarrow\uparrow\uparrow\downarrow\cdots\rangle, \dots \quad \text{Ising configurations} \quad S_i^z = \pm \frac{1}{2}$$

$$p_i = |\langle i|g\rangle|^2 \quad \sum_i p_i = 1 \quad \text{probabilities (normalized)}$$

Shannon-Rényi entropy

$$S_n = \frac{1}{1-n} \log \left(\sum_i (p_i)^n \right)$$

$$S_{n \rightarrow 1} = -\sum_i p_i \log(p_i)$$

$$S_{n \rightarrow \infty} = -\log(p_{\max})$$

Shannon entropy of an XXZ ground state – definition

$$|g\rangle = \sum_{i=1}^{2^L} \psi_i |i\rangle \quad \text{ground-state of } H = -\sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + \Delta \sum_i S_i^z S_{i+1}^z$$

$$|i\rangle = |\downarrow\uparrow\uparrow\downarrow\ldots\rangle, \dots \quad \text{Ising configurations } S_i^z = \pm \frac{1}{2}$$

$$p_i = |\langle i|g\rangle|^2 \quad \sum_i p_i = 1 \quad \text{probabilities (normalized)}$$

Shannon-Rényi entropy

$$S_n = \frac{1}{1-n} \log \left(\sum_i (p_i)^n \right)$$

$$S_{n \rightarrow 1} = -\sum_i p_i \log(p_i)$$

$$S_{n \rightarrow \infty} = -\log(p_{\max})$$

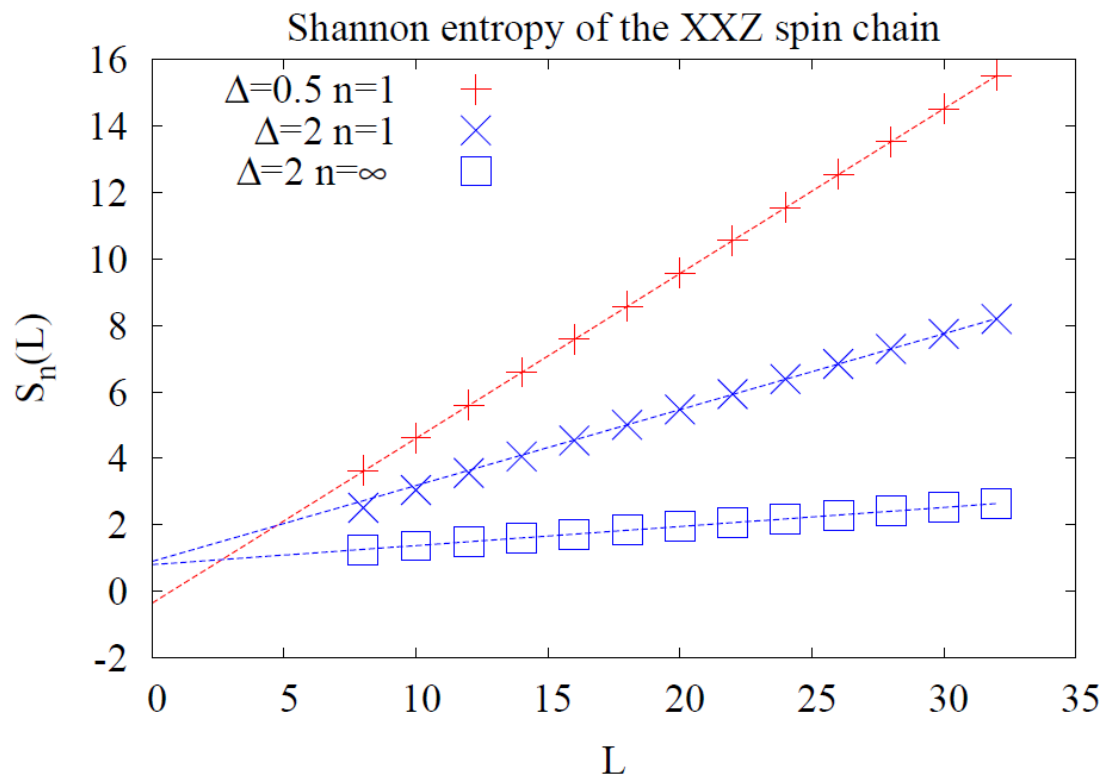
Remarks: $S_{n>1}$ is similar to participation ratios but here the sum (CPU time!) is exponential in L

Shannon entropy of an XXZ ground state – definition

$$|g\rangle = \sum_{i=1}^{2^L} \psi_i |i\rangle \quad \text{ground-state of } H = -\sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + \Delta \sum_i S_i^z S_{i+1}^z$$

$$|i\rangle = |\downarrow\uparrow\uparrow\downarrow\cdots\rangle, \dots \quad \text{Ising configurations} \quad S_i^z = \pm \frac{1}{2}$$

$$p_i = |\langle i|g\rangle|^2 \quad \sum_i p_i = 1 \quad \text{probabilities (normalized)}$$



Shannon-Rényi entropy

$$S_n = \frac{1}{1-n} \log \left(\sum_i (p_i)^n \right)$$

$$S_{n \rightarrow 1} = -\sum_i p_i \log(p_i)$$

$$S_{n \rightarrow \infty} = -\log(p_{\max})$$

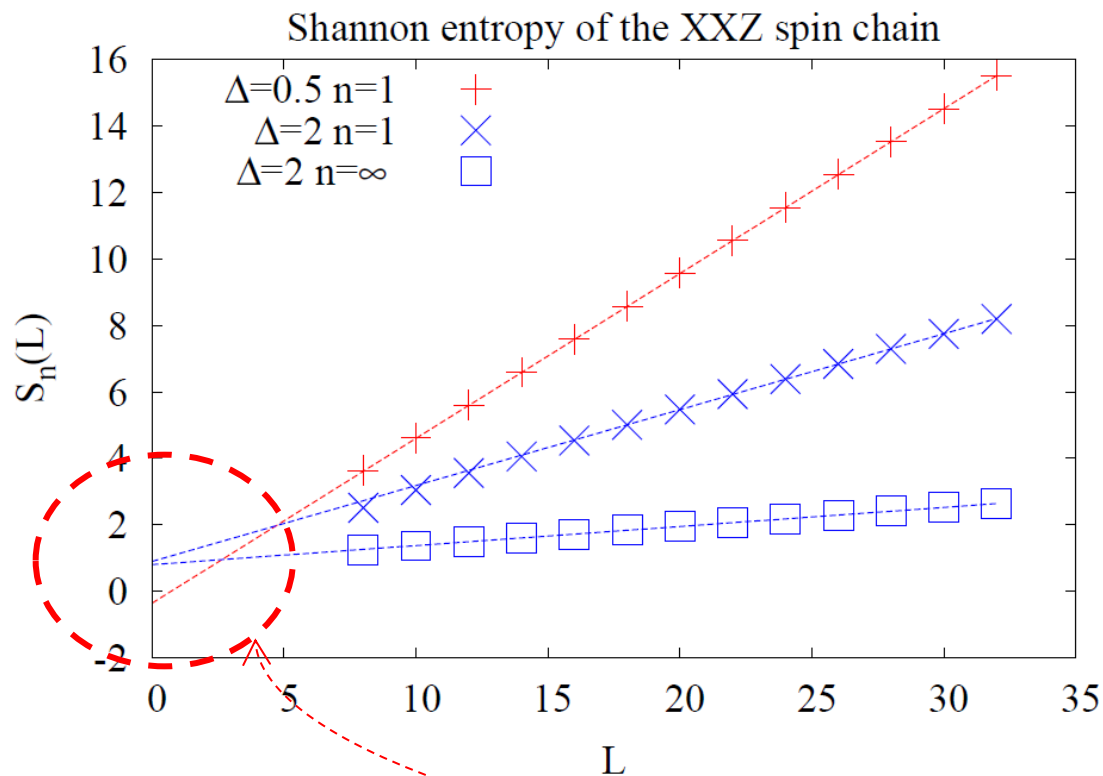
Remarks: $S_{n>1}$ is similar to participation ratios but here the sum (CPU time!) is exponential in L

Shannon entropy of an XXZ ground state – definition

$$|g\rangle = \sum_{i=1}^{2^L} \psi_i |i\rangle \quad \text{ground-state of } H = -\sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + \Delta \sum_i S_i^z S_{i+1}^z$$

$$|i\rangle = |\downarrow\uparrow\uparrow\downarrow\cdots\rangle, \dots \quad \text{Ising configurations} \quad S_i^z = \pm \frac{1}{2}$$

$$p_i = |\langle i|g\rangle|^2 \quad \sum_i p_i = 1 \quad \text{probabilities (normalized)}$$



Shannon-Rényi entropy

$$S_n = \frac{1}{1-n} \log \left(\sum_i (p_i)^n \right)$$

$$S_{n \rightarrow 1} = -\sum_i p_i \log(p_i)$$

$$S_{n \rightarrow \infty} = -\log(p_{\max})$$

Remarks: $S_{n>1}$ is similar to participation ratios but here the sum (CPU time!) is exponential in L

Volume law and **subleading constant**

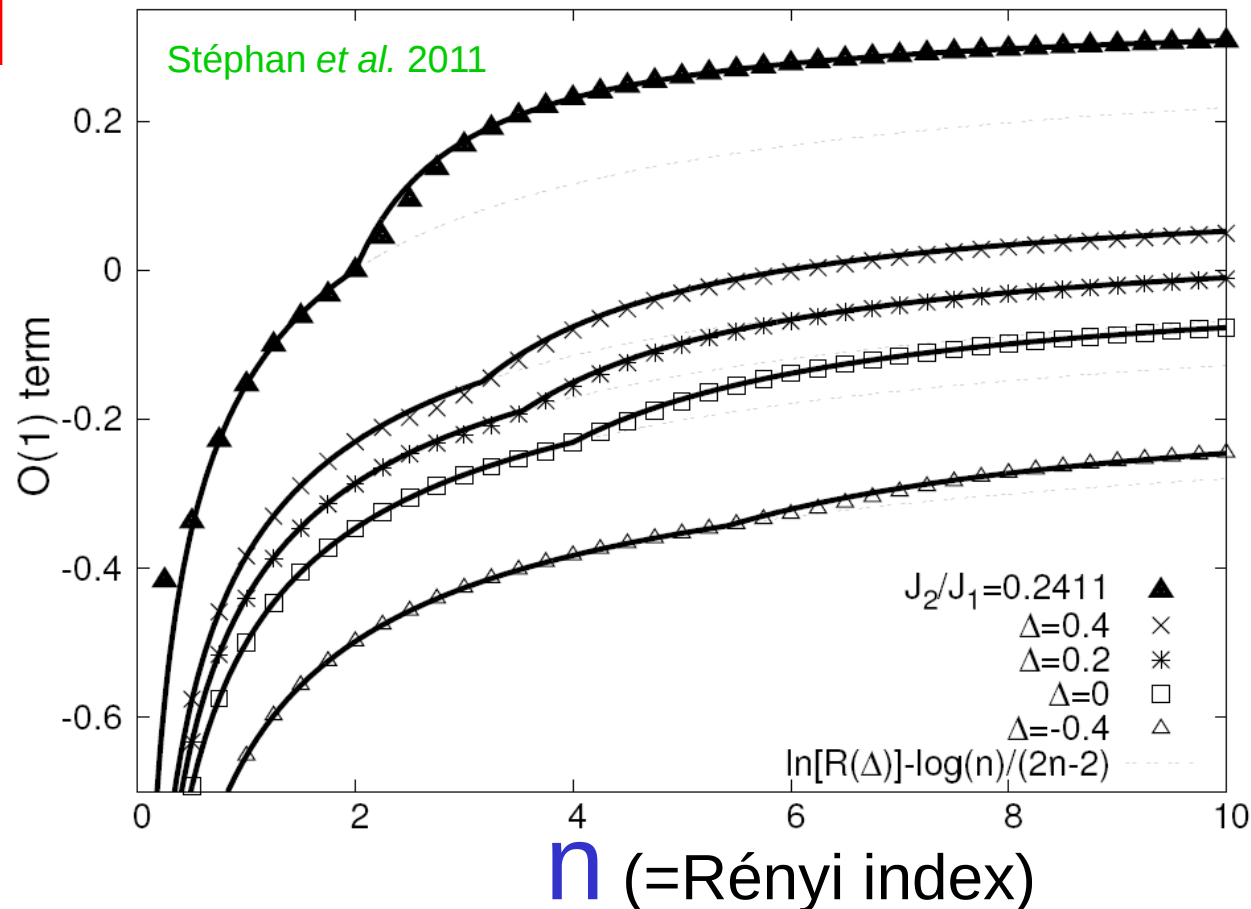
$$S_n(L) \approx \boxed{a_n L} + \boxed{b_n} + \dots$$

Shannon entropy of an XXZ ground state – numerics

Volume law and **subleading constant**

$$S_n(L) \approx \boxed{a_n L} + \boxed{b_n}$$

Theory for these
subleading entropy
constants ?



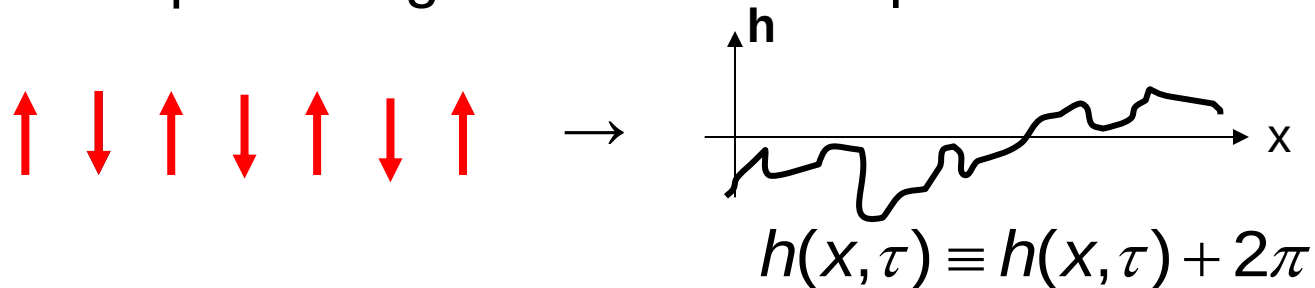
Luttinger liquid – Continuum limit

□ Algebraic correlations & Luttinger parameter K

$$\begin{aligned}\langle S_0^z S_x^z \rangle &\simeq ax^{-2} + b(-1)^x x^{-2K} + \dots \\ \langle S_0^+ S_x^- \rangle &\simeq cx^{-\frac{1}{2K}} + d(-1)^x x^{-2K - \frac{1}{2K}} + \dots\end{aligned}\quad 2K = \left[1 - \frac{\arccos(\Delta)}{\pi} \right]^{-1}$$

□ Bosonization:

microscopic configurations $\rightarrow$ compactified scalar field



□ Gaussian effective action

$$S_\kappa[h] = \frac{\kappa}{4\pi} \int \left(\vec{\nabla} h \right)^2 \underbrace{dx}_{\text{space}} \underbrace{d\tau}_{\text{Imaginary time}}$$

$$K = \frac{1}{2\kappa} = \frac{1}{R^2}$$

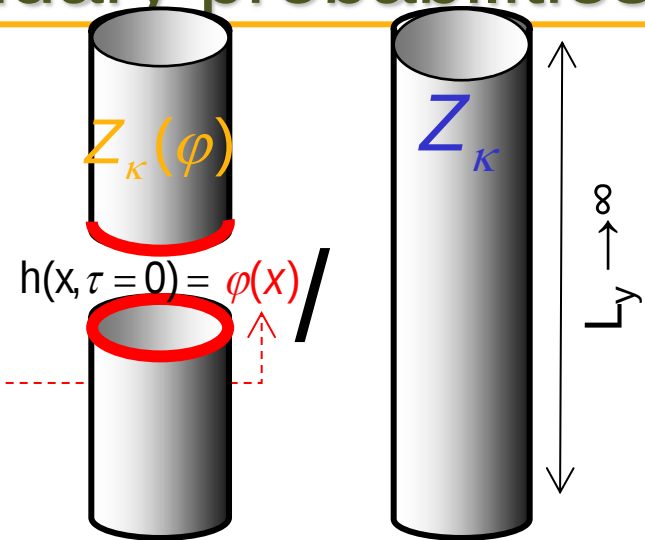
Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} = \frac{h(x, \tau=0) = \varphi(x)}{\varphi(x)}$$

The diagram illustrates the relationship between the partition function $Z_{\kappa}(\varphi)$ and the partition function Z_{κ} for a Gaussian Free field. It shows two cylinders. The left cylinder is labeled $Z_{\kappa}(\varphi)$ and has a red ring at its top boundary. The right cylinder is labeled Z_{κ} and has a red ring at its bottom boundary. A vertical double-headed arrow on the right indicates a height of infinity. A red dashed line connects the φ in the equation to the red rings.

Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} =$$



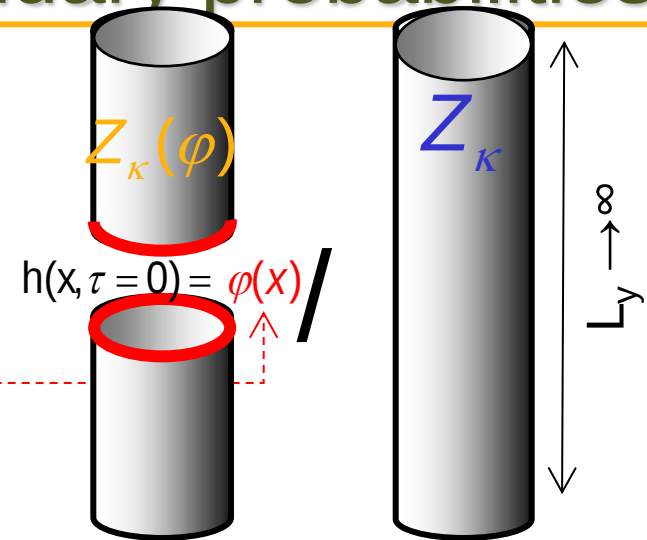
$$Z_{\kappa}(\varphi) = \exp(-\kappa A[\varphi]) \cdot Z_{\kappa}(0)$$

Gaussian factor

Partition function
with Dirichlet condition ($\varphi=0$)

Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} =$$



$$Z_{\kappa}(\varphi) = \exp(-\kappa A[\varphi]) \cdot Z_{\kappa}(0)$$

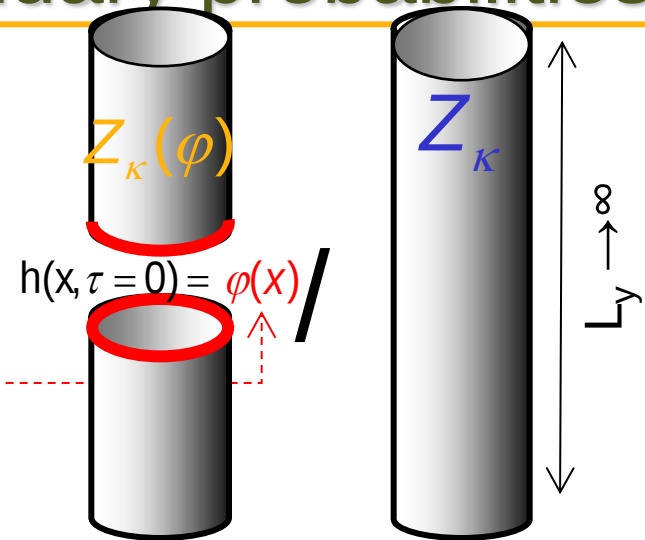
Gaussian factor

Partition function
with Dirichlet condition ($\varphi=0$)

$$Z_{\kappa}(\varphi)^n = \overbrace{\exp(-n \cdot \kappa \cdot A[\varphi])} \times (Z_{\kappa}(0))^n$$

Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} =$$



$$Z_{\kappa}(\varphi) = \exp(-\kappa A[\varphi]) \cdot Z_{\kappa}(0)$$

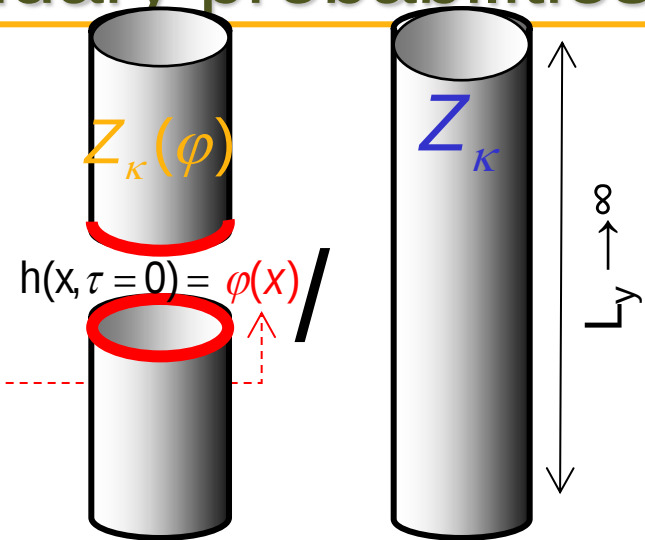
Gaussian factor

Partition function
with Dirichlet condition ($\varphi=0$)

$$Z_{\kappa}(\varphi)^n = \exp(-n \cdot \kappa \cdot A[\varphi]) \times (Z_{\kappa}(0))^n = \frac{Z_{n\kappa}(\varphi)}{Z_{n\kappa}(0)}$$

Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} =$$



$$Z_{\kappa}(\varphi) = \exp(-\kappa A[\varphi]) \cdot Z_{\kappa}(0)$$

Gaussian factor

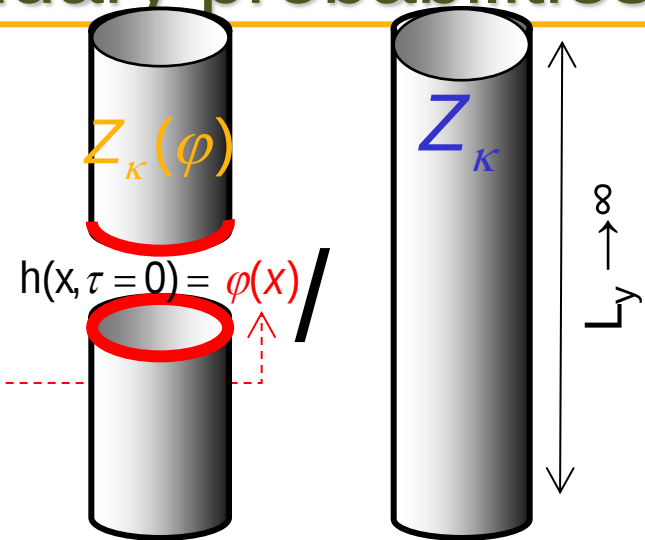
Partition function
with Dirichlet condition ($\varphi=0$)

$$Z_{\kappa}(\varphi)^n = \exp(-n \cdot \kappa \cdot A[\varphi]) \times (Z_{\kappa}(0))^n = \frac{Z_{n\kappa}(\varphi)}{Z_{n\kappa}(0)}$$

$$\sum_{\varphi} Z_{n\kappa}(\varphi) = Z_{n\kappa}$$

Gaussian Free field : boundary probabilities

$$p_{\kappa}(\varphi) = Z_{\kappa}(\varphi) / Z_{\kappa} =$$



$$Z_{\kappa}(\varphi) = \exp(-\kappa A[\varphi]) \cdot Z_{\kappa}(0)$$

Gaussian factor

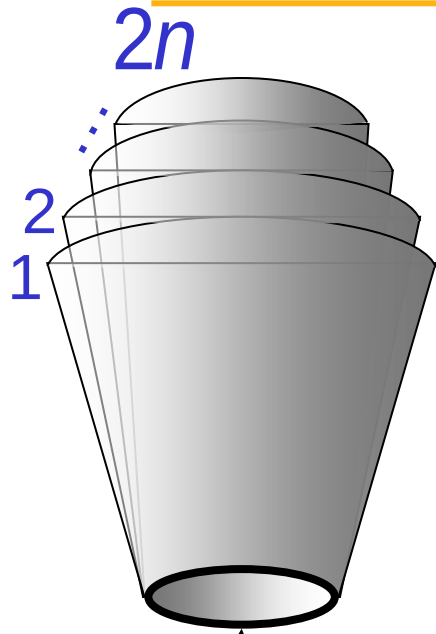
Partition function with Dirichlet condition ($\varphi=0$)

$$Z_{\kappa}(\varphi)^n = \exp(-n \cdot \kappa \cdot A[\varphi]) \times (Z_{\kappa}(0))^n = \frac{Z_{n\kappa}(\varphi)}{Z_{n\kappa}(0)}$$

$$\sum_{\varphi} Z_{n\kappa}(\varphi) = Z_{n\kappa}$$

$$\sum_{\varphi} (p_{\varphi})^n = \frac{Z_{n\kappa}}{Z_{n\kappa}(0)} \times \left(\frac{Z_{\kappa}(0)}{Z_{\kappa}} \right)^n$$

2n-sheeted partition function & Rényi entropy

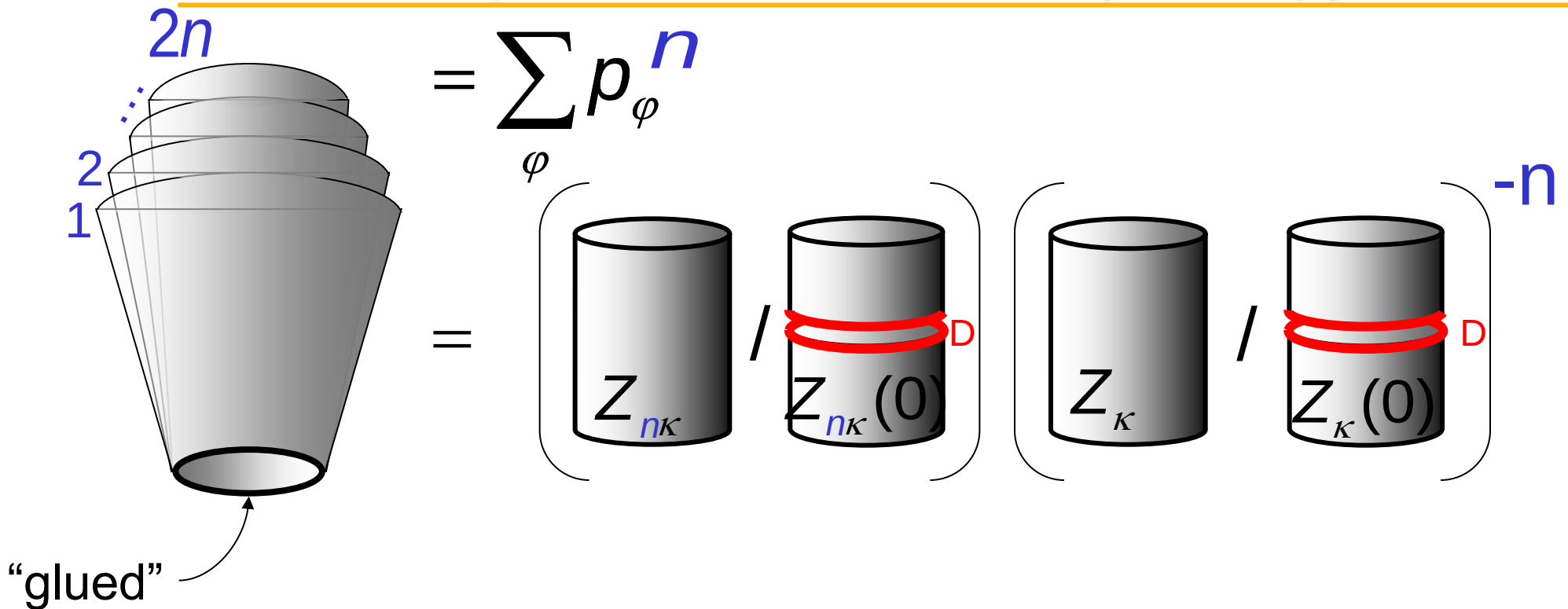


$$= \sum_{\varphi} p_{\varphi}^n$$

"glued"

$$S_n(L) = \frac{1}{1-n} \log \left(\sum_{\varphi} (p_{\varphi})^n \right)$$

2n-sheeted partition function & Rényi entropy

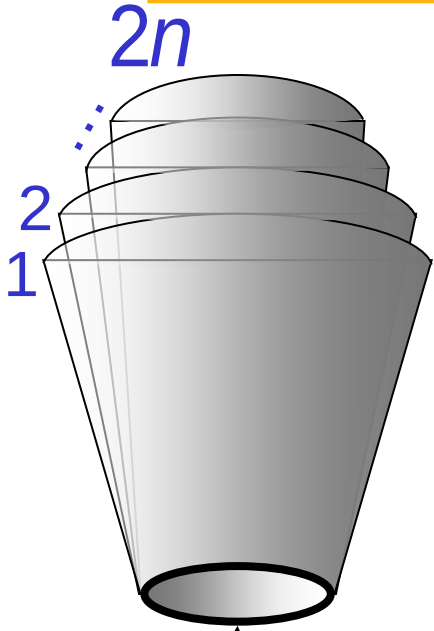


The diagram illustrates the relationship between a 2n-sheeted partition function and its factorization into two n-sheeted partition functions. On the left, a cone-like structure represents a 2n-sheeted Riemann surface, with sheets labeled 1, 2, ..., 2n. An arrow points to the base of this cone with the label "glued". To the right, the partition function is expressed as a sum over states φ :
$$= \sum_{\varphi} p_{\varphi}^n$$
 This is then shown to be equal to the product of two identical terms, each representing an n-sheeted surface (a cylinder) with a red cut labeled 'D'. The first cylinder is labeled Z_{nK} and $Z_{nK}(0)$, and the second is labeled Z_K and $Z_K(0)$. The entire product is raised to the power of $-n$.

$$S_n(L) = \frac{1}{1-n} \log \left(\sum_{\varphi} (p_{\varphi})^n \right)$$

2n-sheeted partition function & Rényi entropy

“glued”



$$= \sum_{\varphi} p_{\varphi}^n$$

$$= \left(\frac{Z_{nK}}{Z_{nK}(0)} \right) \left(\frac{Z_K}{Z_K(0)} \right)^{-n}$$

$= \sqrt{n}R$

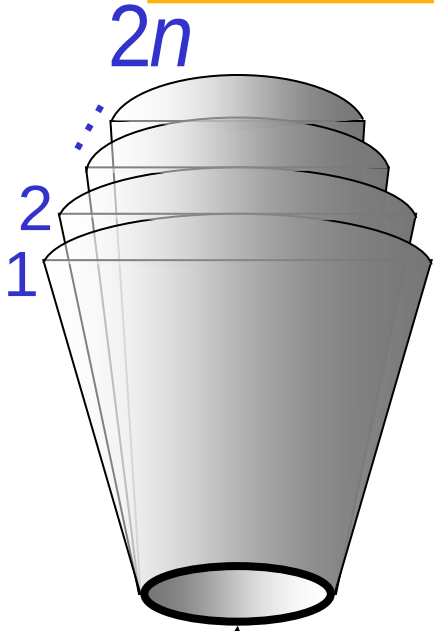
“g-factor” Affleck-Ludwig 1991
Fendley, Saleur, Warner 1994

$= (g_{\text{Dirichlet}})^{-2}$
 $= \sqrt{2K} = R$

$$S_n(L) = \frac{1}{1-n} \log \left(\sum_{\varphi} (p_{\varphi})^n \right)$$

2n-sheeted partition function & Rényi entropy

“glued”



$$= \sum_{\varphi} p_{\varphi}^n$$

$$= \left(\frac{Z_{nK}}{Z_{nK}(0)} \right) \left(\frac{Z_K}{Z_K(0)} \right)^{-n}$$

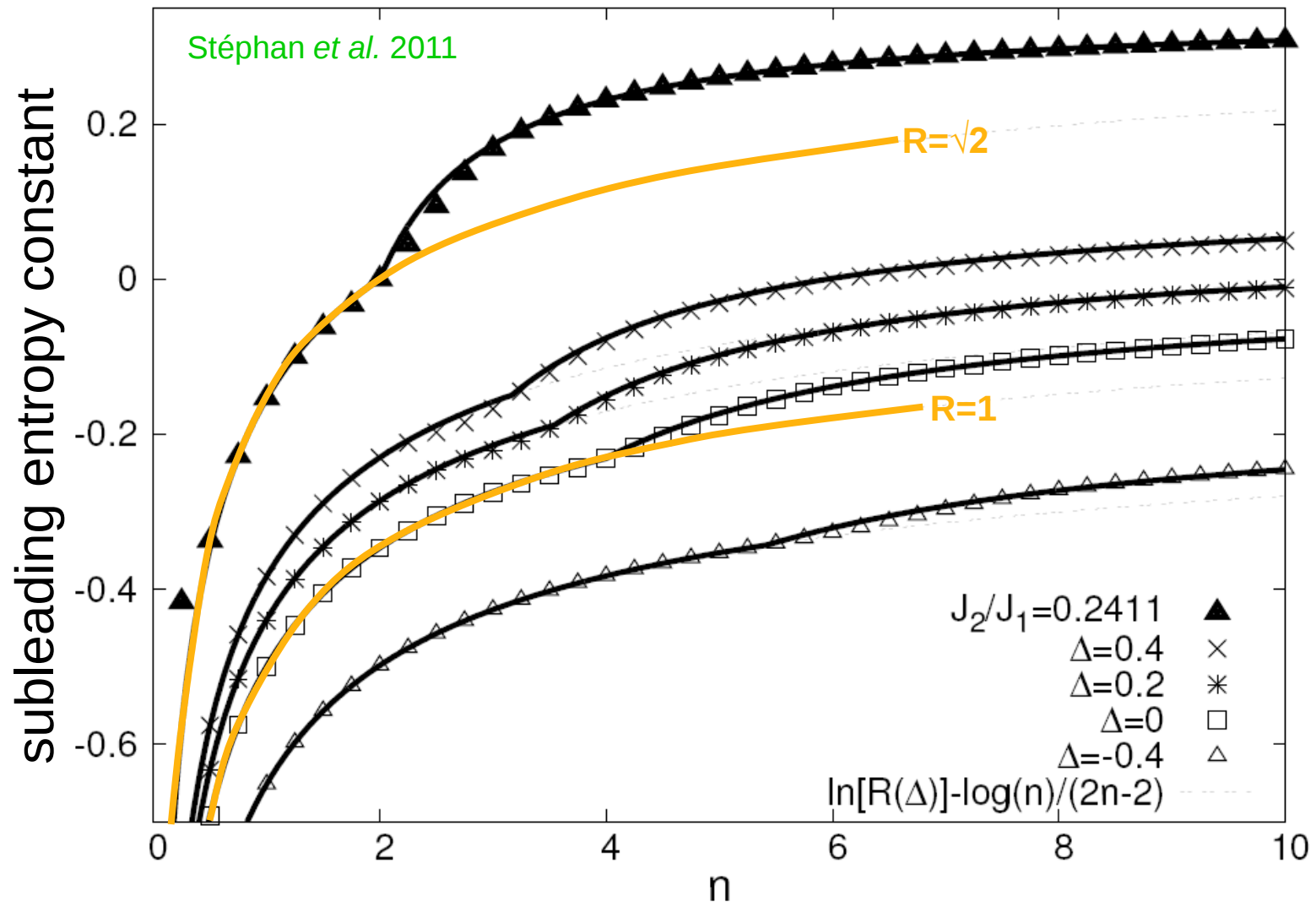
$= \sqrt{n}R$

“g-factor” Affleck-Ludwig 1991
Fendley, Saleur, Warner 1994

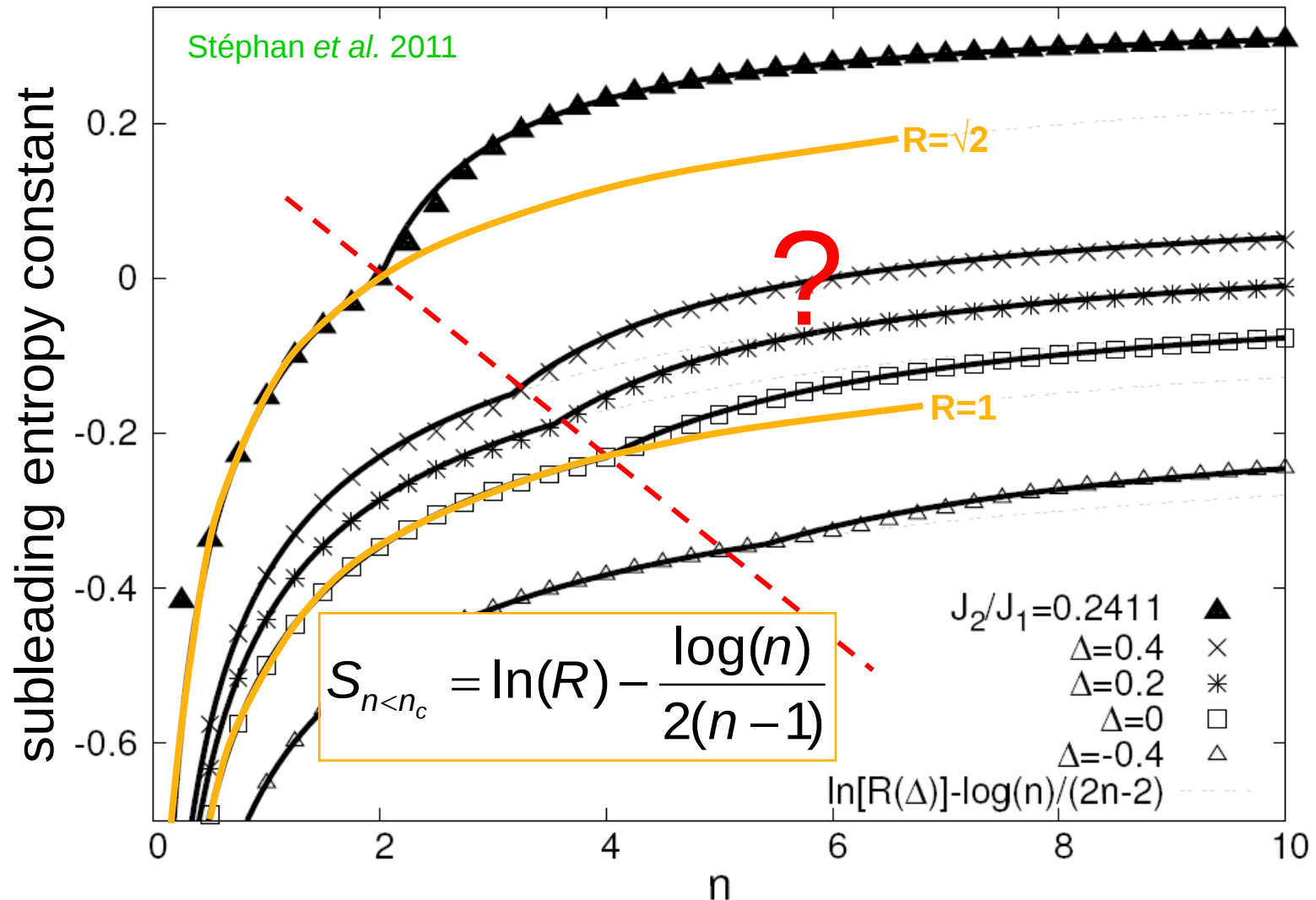
$= (g_{\text{Dirichlet}})^{-2}$
 $= \sqrt{2\kappa} = R$

$$S_n(L) = \frac{1}{1-n} \log \left(\sum_{\varphi} (p_{\varphi})^n \right) = (\dots)L + \log(R) - \frac{1}{2} \frac{\log(n)}{n-1}$$

Shannon entropy of an XXZ ground state – numerics



Shannon entropy of an XXZ ground state – numerics



Boundary phase transition in the Shannon-Rényi entropy

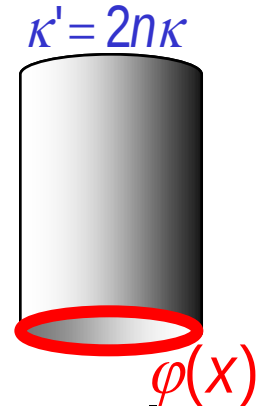
- **Vertex operator** $\cos(2h(x,\tau))$ has dimension $\chi_{\text{boundary}}(\kappa) = \frac{4}{\kappa}$
(relevant at the boundary if $x < 1$)

Boundary phase transition in the Shannon-Rényi entropy

- **Vertex operator** $\cos(2h(x,\tau))$ has dimension $x_{\text{boundary}}(\kappa) = \frac{4}{\kappa}$
(relevant at the boundary if $x < 1$)

- Rényi index $\rightarrow$ **effective stiffness multiplied by $2n$** :

$$p_{\kappa}(\varphi)^n \approx$$



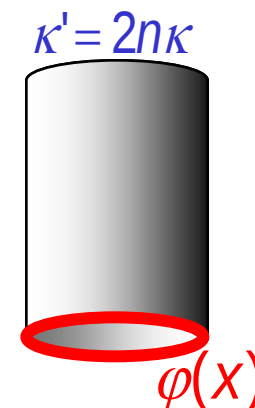
- Beyond some critical n , the vertex operator $\cos(2h/r)$ become **relevant**
 $\rightarrow$ boundary phase transition at $n_c = \frac{2}{\kappa}$ (XXZ at zero magnetization)

Boundary phase transition in the Shannon-Rényi entropy

- **Vertex operator** $\cos(2h(x,\tau))$ has dimension $x_{\text{boundary}}(\kappa) = \frac{4}{\kappa}$
(relevant at the boundary if $x < 1$)

- Rényi index $\rightarrow$ **effective stiffness multiplied by $2n$** :

$$p_{\kappa}(\varphi)^n \approx$$



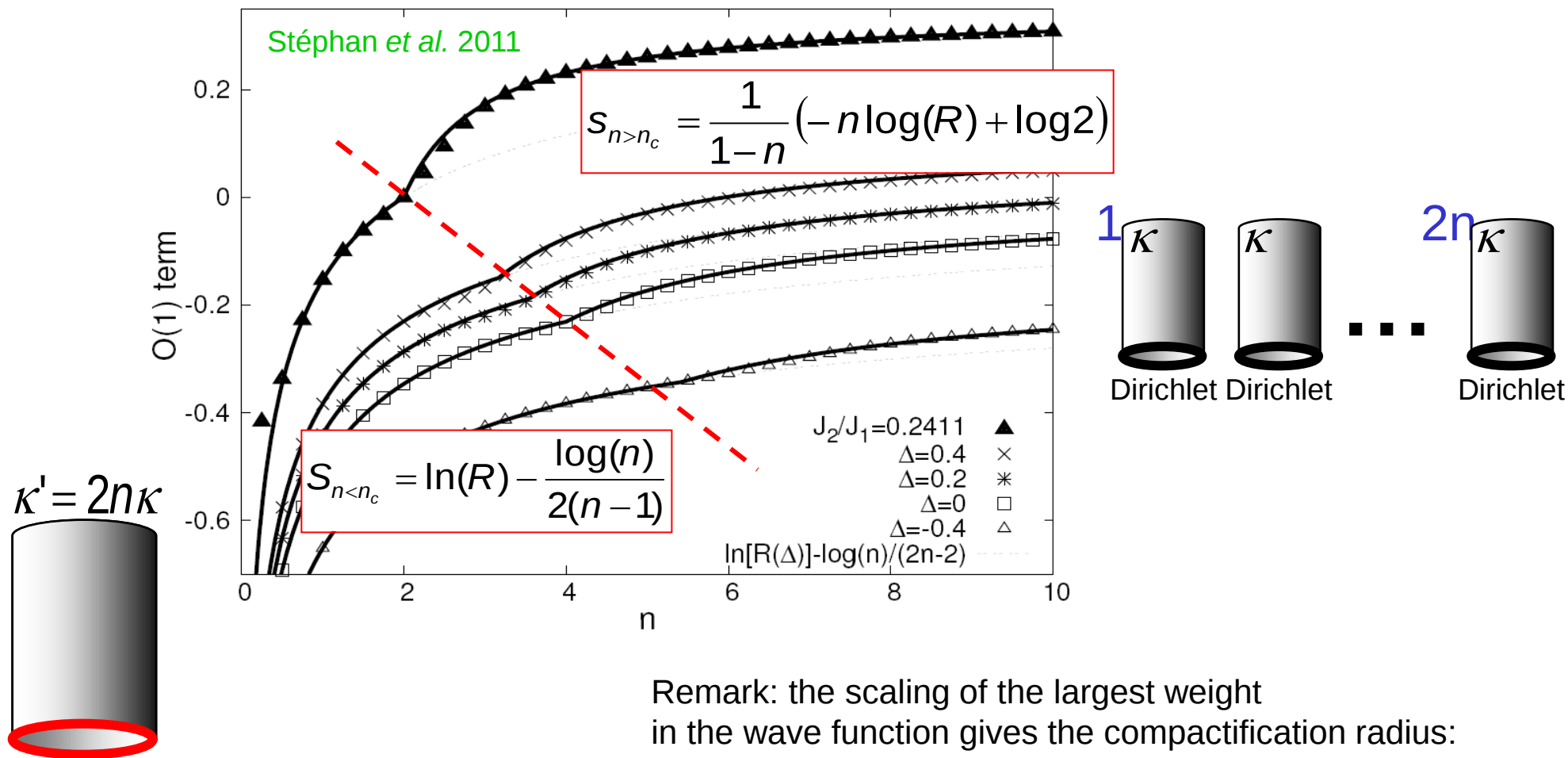
- Beyond some critical n , the vertex operator $\cos(2h/r)$ become **relevant**

$\rightarrow$ boundary phase transition at $n_c = \frac{2}{\kappa}$ (XXZ at zero magnetization)

- $n > n_c$ decoupled replicas

$$\sum_{\varphi} (p_{\varphi})^n =$$

Shannon entropy of an XXZ ground state – summary

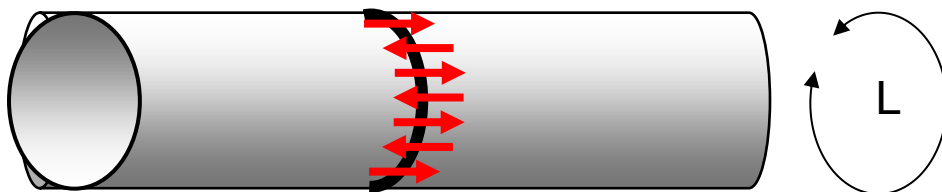


Remark: the scaling of the largest weight in the wave function gives the compactification radius:

$$S_{n=\infty} = -\log(p_{\max}) = a_{\infty} L + \log(R)$$

Ising model

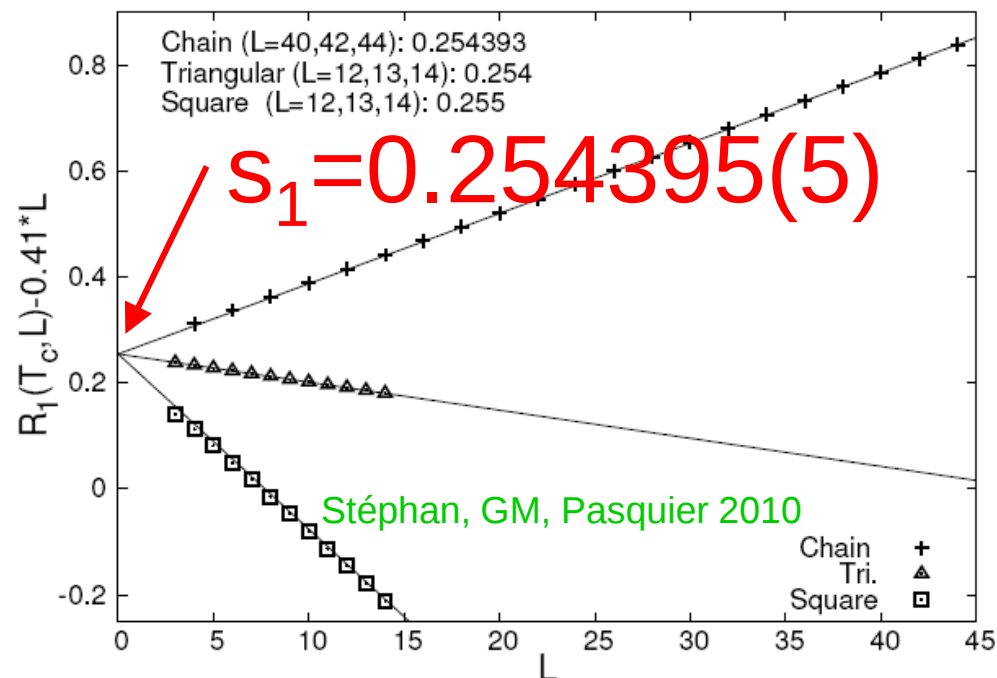
- Shannon entropy of the critical Ising chain in transverse field or classical entropy of a line in a 2d classical model



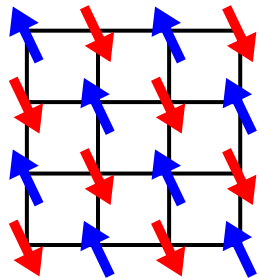
$$\mathcal{H} = -\mu \sum_{j=0}^{L-1} \sigma_j^x \sigma_{j+1}^x - \sum_{j=0}^{L-1} \sigma_j^z$$

- Boundary phase transition at $n_c=1$

- Universal (and mysterious) Subleading entropy constant at $n=1$.
NB: a replica approach fails !



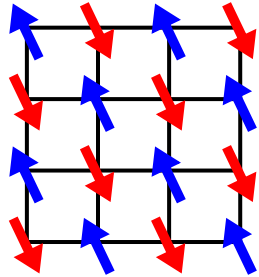
Shannon entropy in 2+1d & Goldstone modes



$$H_{\text{Heisenberg}} = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$$H_{XY} = \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y)$$

Shannon entropy in 2+1d & Goldstone modes



$$H_{\text{Heisenberg}} = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$$H_{XY} = \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y)$$

PRL 112, 057203 (2014)

PHYSICAL REVIEW LETTERS

week e
7 FEBRUAR

Universal Behavior beyond Multifractality in Quantum Many-Body Systems

David J. Luitz, Fabien Alet, and Nicolas Laflorencie

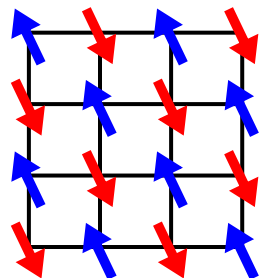
Laboratoire de Physique Théorique, IRSAMC, Université de Toulouse, CNRS, 31062 Toulouse, France

(Received 9 October 2013; published 6 February 2014)

$$S_n(N) \approx a_n N + \boxed{I_n \log(N)} + \dots$$

$n=2,3,4,\infty$: accessible to quantum Monte-Carlo simulations

Shannon entropy in 2+1d & Goldstone modes



$$H_{\text{Heisenberg}} = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$$H_{\text{XY}} = \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y)$$

PRL 112, 057203 (2014)

PHYSICAL REVIEW LETTERS

week e
7 FEBRUARY 2014

Universal Behavior beyond Multifractality in Quantum Many-Body Systems

David J. Luitz, Fabien Alet, and Nicolas Laflorencie

Laboratoire de Physique Théorique, IRSAMC, Université de Toulouse, CNRS, 31062 Toulouse, France

(Received 9 October 2013; published 6 February 2014)

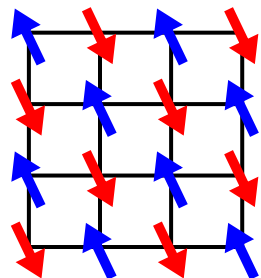
$$S_n(N) \approx a_n N + \boxed{I_n \log(N)} + \dots$$

$n=2,3,4,\infty$: accessible to quantum Monte-Carlo simulations

Model	n	$\log(N)$ coef. Ref. [54]
Heisenberg		
$J_2 = 0$	∞	0.460(5)
$J_2 = -5$	∞	0.58(2)
$J_2 = 0$	2	1.0(2)
$J_2 = -5$	2	1.25(4)
$J_2 = -5$	3	1.06(3)
$J_2 = -5$	4	1.0(1)

Model	n	$\log(N)$ coef. Ref. [54]
XY		
$J_2 = 0$	∞	0.281(8)
$J_2 = -1$	∞	0.282(3)
$J_2 = 0$	2	0.585(6)
$J_2 = -1$	2	0.598(4)
$J_2 = 0$	3	0.44(2)
$J_2 = -1$	3	0.432(7)
$J_2 = 0$	4	0.35(8)
$J_2 = -1$	4	0.38(2)

Shannon entropy in 2+1d & Goldstone modes



$$H_{\text{Heisenberg}} = \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$$H_{\text{XY}} = \sum_{\langle i,j \rangle} (S_i^x S_j^x + S_i^y S_j^y)$$

PRL 112, 057203 (2014)

PHYSICAL REVIEW LETTERS

week e
7 FEBRUAR

Universal Behavior beyond Multifractality in Quantum Many-Body Systems

David J. Luitz, Fabien Alet, and Nicolas Laflorencie

Laboratoire de Physique Théorique, IRSAMC, Université de Toulouse, CNRS, 31062 Toulouse, France

(Received 9 October 2013; published 6 February 2014)

$$S_n(N) \approx a_n N + \boxed{I_n \log(N)} + \dots$$

$n=2,3,4,\infty$: accessible to quantum Monte-Carlo simulations

Model	n	$\log(N)$ coef. Ref. [54]	$\frac{N_{\text{NG}}}{4} \frac{n+1}{n-1}$	Model	n	$\log(N)$ coef. Ref. [54]	$\frac{N_{\text{NG}}}{4} \frac{n+1}{n-1}$
Heisenberg				XY			
$J_2 = 0$	∞	0.460(5)	0.5	$J_2 = 0$	∞	0.281(8)	0.25
$J_2 = -5$	∞	0.58(2)	0.5	$J_2 = -1$	∞	0.282(3)	0.25
$J_2 = 0$	2	1.0(2)	1.5	$J_2 = 0$	2	0.585(6)	0.75
$J_2 = -5$	2	1.25(4)	1.5	$J_2 = -1$	2	0.598(4)	0.75
$J_2 = -5$	3	1.06(3)	1	$J_2 = 0$	3	0.44(2)	0.5
$J_2 = -5$	4	1.0(1)	0.8333	$J_2 = -1$	3	0.432(7)	0.5
				$J_2 = 0$	4	0.35(8)	0.4166
				$J_2 = -1$	4	0.38(2)	0.4166

Work in progress, collaboration with V. Pasquier, M. Oshikawa & Toulouse

Conclusions

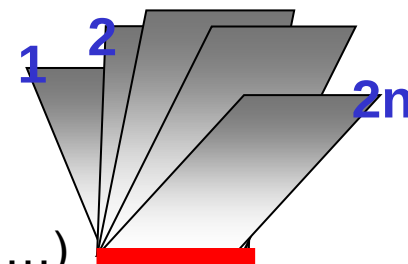
Shannon entropy: probing a wave function *directly*.

Subleading terms reveal the long-distance properties of the state:

Compactification radius R in Luttinger liquids, discrete symmetry breaking, Goldstone modes, universality class (Ising), ...

□ A few things I have not talked about :

- Open chains
- Shannon entropy of a subsystem (a segment in 1d, a line in 2d, ...)
- Basis dependence



□ Some future directions:

- Better understanding of $n=n_c$ ($c=1 \Leftrightarrow$ marginal boundary sine-Gordon)
- Goldstone modes in 2d: dependence on the geometry (corners, topology, ...)
- Measure/characterize the correlations in “Renyified” states

(1d or 2d) :

$$|\psi\rangle = \sum_c \psi_c |c\rangle \longrightarrow |\psi, n\rangle = \frac{1}{\sqrt{\dots}} \sum_c (\psi_c)^n |c\rangle$$