
Derivation of order parameters through reduced density matrices

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Order parameters (OP) and critical phenomena

Simplest example: magnetization of a ferromagnet

- OP: base of the Landau-Ginzburg theory of phase transitions

A phase is characterized by its (broken) symmetries

The free energy is a functional of the coarse-grained OP associated to the broken symmetry. All other microscopic details can be ignored

- Renormalization group approach & universality
 - OP: Appropriate variable to construct effective (field) theories
 - Example: magnetization $\Rightarrow \varphi^4$ field theory

How to identify the/an order parameter ?

Spectrum and spontaneous symmetry breaking

Quantum lattice models at T=0

Spontaneous breakdown some discrete symmetries

Gapped spectrum

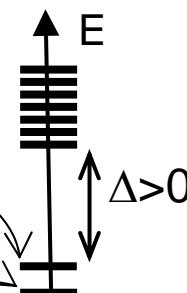
□ Ferro. Ising chain in transverse field

$$H = - \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

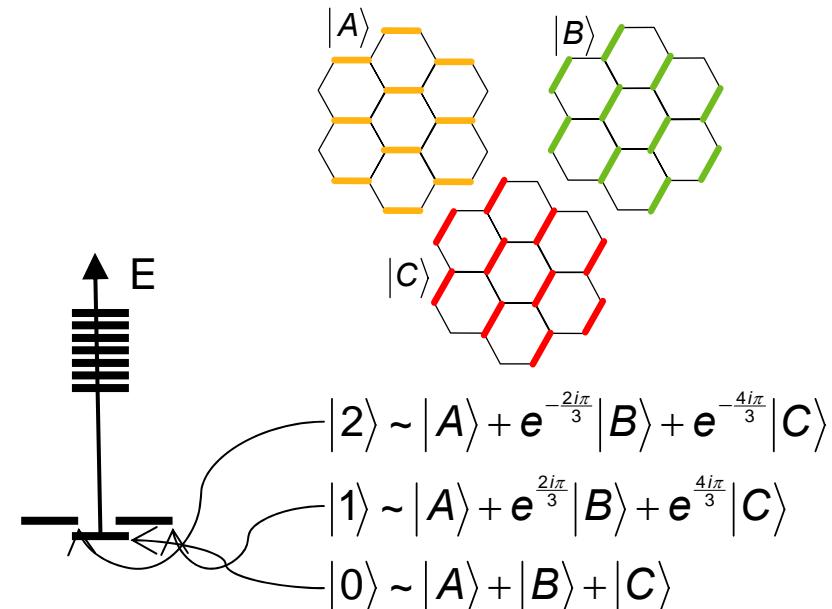
$$|1\rangle = \frac{1}{\sqrt{2}}(|\uparrow \uparrow \uparrow\rangle - |\downarrow \downarrow \downarrow\rangle)$$
$$|0\rangle = \frac{1}{\sqrt{2}}(|\uparrow \uparrow \uparrow\rangle + |\downarrow \downarrow \downarrow\rangle)$$

Order parameter : σ_0^z

$$\text{but } \langle 0 | \sigma_0^z | 0 \rangle = \langle 1 | \sigma_0^z | 1 \rangle = 0$$



□ Valence-bond crystal on the hexagonal lattice



⇒ Eigenstates ≠ symmetry-breaking states

Order parameter – definitions

□ Definition 1

$$\hat{O}_{triv} = \frac{1}{|G|} \sum_{g \in G} g \hat{O} g^{-1} \text{ trivial rep. component of } \hat{O}$$

$$\exists |A\rangle = \sum_{i=1}^n \alpha_i |i\rangle \text{ such that } \langle A|\hat{O}|A\rangle - \langle A|\hat{O}_{triv}|A\rangle \neq 0$$

□ Definition 2 (not involving G)

$$\exists |A\rangle = \dots, \text{ such that } \langle A|\hat{O}|A\rangle - \frac{1}{n} \sum_{i=1}^n \langle i|\hat{O}|i\rangle \neq 0$$

□ Definition 3

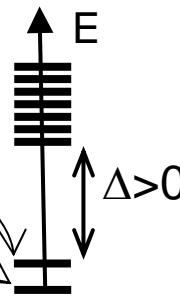
$$\exists |A\rangle, |B\rangle = \dots, \text{ such that } \langle A|\hat{O}|A\rangle - \langle B|\hat{O}|B\rangle \neq 0$$

Correlations and order parameter

□ Example of the ferromagnetic Ising chain

$$H = - \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

$$|1\rangle = \frac{1}{\sqrt{2}}(|\uparrow \uparrow \uparrow\rangle - |\downarrow \downarrow \downarrow\rangle)$$
$$|0\rangle = \frac{1}{\sqrt{2}}(|\uparrow \uparrow \uparrow\rangle + |\downarrow \downarrow \downarrow\rangle)$$



Eigenstates have no magnetization but long-range correlations

$$\langle 0 | \sigma_0^z | 0 \rangle = \langle 1 | \sigma_0^z | 1 \rangle = 0$$

$$\langle 0 | \sigma_0^z \sigma_r^z | 0 \rangle \sim \langle 1 | \sigma_0^z \sigma_r^z | 1 \rangle \sim \text{cst}$$

⇒ Look for all possible correlations to find the order parameter ?

Order parameter and Reduced Density Matrices

Ω

- A local (in Ω) observable is an OP if it can distinguish two ground-states:

$$|A\rangle = \sum_i \alpha_i |i\rangle ; \quad |B\rangle = \sum_i \beta_i |i\rangle \quad \text{linear combinations of the eigenstates}$$

Such that:

(in the thermodynamic limit)

$$\langle A | \hat{O} | A \rangle \neq \langle B | \hat{O} | B \rangle$$

- Question: For a given Ω , does such $\{\alpha_i, \beta_i, \hat{O}\}$ exist ?

Hint: all the information is contained in the reduced density matrices

$$\hat{\rho} \underbrace{(|i\rangle, |j\rangle)}_{\text{Eigenstates of the (whole) system}} = \text{Tr}_{\bar{\Omega}} [|i\rangle\langle j|] = \sum_{|e\rangle} \langle e | i \rangle \langle j | e \rangle \underbrace{\text{State of the exterior } \bar{\Omega}}$$

Local difference between states - definitions

- We introduce the difference between *two* states (with respect to Ω):

$$\text{diff}(|A\rangle, |B\rangle, \Omega) = \underset{\substack{\hat{O} \text{ defined on } \Omega \\ \text{normalized } \|\hat{O}\| \leq 1}}{\text{Max}} |\langle A | \hat{O} | A \rangle - \langle B | \hat{O} | B \rangle|$$

= how different are $|A\rangle$ and $|B\rangle$, seen from Ω

- Properties of “diff”:

$$0 \leq \text{diff}(|A\rangle, |B\rangle, \Omega) \leq 2$$

$$\Omega \subset \Omega' \Rightarrow \text{diff}(|A\rangle, |B\rangle, \Omega) \leq \text{diff}(|A\rangle, |B\rangle, \Omega')$$

- Example 1
Ferromagnet

$$|A\rangle = |\uparrow \uparrow \uparrow\rangle, |B\rangle = |\downarrow \downarrow \downarrow\rangle$$

$$\Omega = \{1\}, \text{diff} = 2, \hat{O}^{\text{opt}} = 2S_0^z$$

- Example 2
Dimerized states

$$|A\rangle = \overline{(1 \ 2) \ (3 \ 4) \ (5 \ 6)} \rangle$$
$$|B\rangle = \overline{|1) \ (2 \ 3) \ (4 \ 5) \ (6\rangle}$$

$$\text{---} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Singlet, total spin $S=0$

$$\Omega = \{1\}, \text{diff} = 0$$

$$\Omega = \{1,2\}, \text{diff} = \frac{3}{2}, \hat{O}^{\text{opt}} = 2\vec{S}_1 \cdot \vec{S}_2 + \frac{1}{2}$$

- How to compute “ $\text{diff}(|A\rangle, |B\rangle, \Omega)$ ” in general ?

Computation of $\text{diff}(|A\rangle, |B\rangle, \Omega)$

... one has to diagonalize the difference of the reduced density matrices :

$$\text{diff}(|A\rangle, |B\rangle, \Omega) = \underset{\substack{\hat{O} \text{ defined on } \Omega \\ \text{normalized } \|\hat{O}\| \leq 1}}{\text{Max}} |\langle A | \hat{O} | A \rangle - \langle B | \hat{O} | B \rangle|$$

$$= \underset{\substack{\hat{O} \text{ defined on } \Omega \\ \text{normalized } \|\hat{O}\| \leq 1}}{\text{Max}} \text{Tr}_{\Omega} [\hat{O} (\rho_{AA}^{\Omega} - \rho_{BB}^{\Omega})]$$

= ...

$$= \sum |\lambda_n| \quad \text{where} \quad \rho_{AA}^{\Omega} - \rho_{BB}^{\Omega} = \sum \lambda_n |n\rangle \langle n|$$

$$\hat{O}^{opt} = \sum \text{sign}(\lambda_n) |n\rangle \langle n|$$

□ How to obtain the broken symmetry states $|A\rangle$ and $|B\rangle$?

Finding the broken symmetry states

- Optimization over the states $|A\rangle$ and $|B\rangle$

$|1\rangle, |2\rangle, \dots, |d\rangle$: degenerate ground states

$$\tilde{D}_\Omega(|1\rangle, |2\rangle, \dots, |d\rangle) = \text{Max}_{\begin{array}{l} |A\rangle = \sum \alpha_i |i\rangle \\ |B\rangle = \sum \beta_i |i\rangle \\ \langle A|A\rangle = 1, \langle B|B\rangle = 1 \end{array}} \text{diff}(|A\rangle, |B\rangle, \Omega)$$

$$D_\Omega(|1\rangle, |2\rangle, \dots, |d\rangle) = \text{Max}_{\begin{array}{l} |A\rangle = \sum \alpha_i |i\rangle \\ \langle A|A\rangle = 1 \end{array}} \text{diff}(|A\rangle, |ref\rangle, \Omega) \text{ with } |ref\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^d |i\rangle$$

Possible iterative method to find the maximum :
 $A \Rightarrow \hat{O} \Rightarrow A \Rightarrow \hat{O} \dots$ (diff increasing)

- Simple case when $d=2$:

Two possibilities: $|A\rangle = \frac{1}{\sqrt{2}}(|1\rangle + |2\rangle)$, $|B\rangle = \frac{1}{\sqrt{2}}(|1\rangle - |2\rangle)$

$|A\rangle = \frac{1}{\sqrt{2}}(|1\rangle + |i\rangle |2\rangle)$, $|B\rangle = \frac{1}{\sqrt{2}}(|1\rangle - |i\rangle |2\rangle)$

Time reversal
symmetry breaking

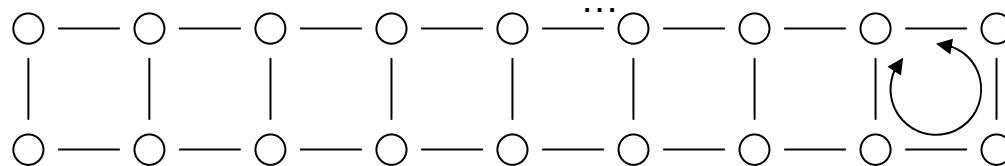
- Applications ?

Spin-1/2 ladder with ring exchange interactions

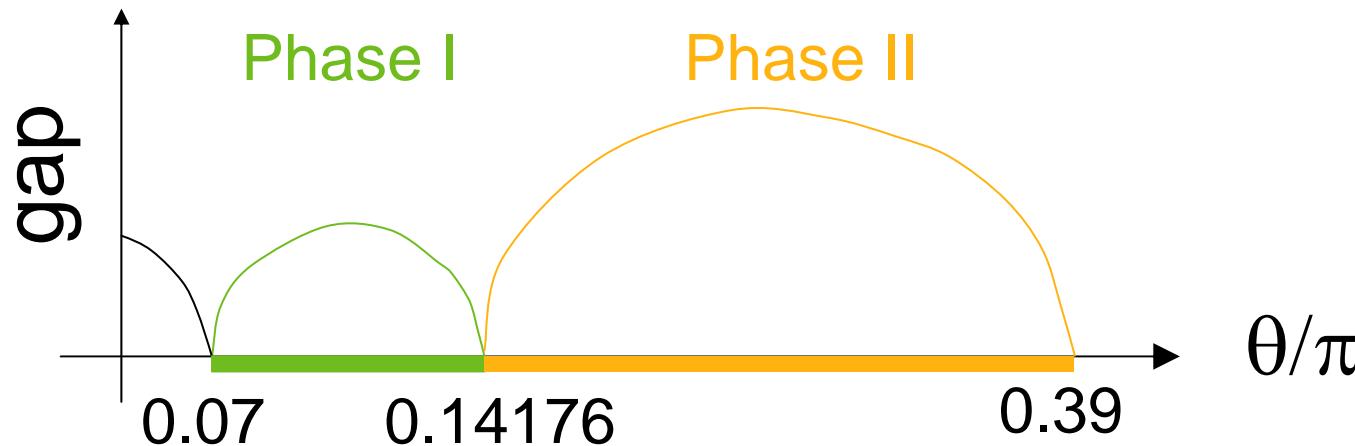
Läuchli, Schmid, Troyer, PRB 67, 100409(R) (2003)

Hikihara, Momoi, Hu, PRL 90, 087204 (2003).

Momoi, Hikihara, Nakamura, Xiao Hu, PRB 67, 174410 (2003)



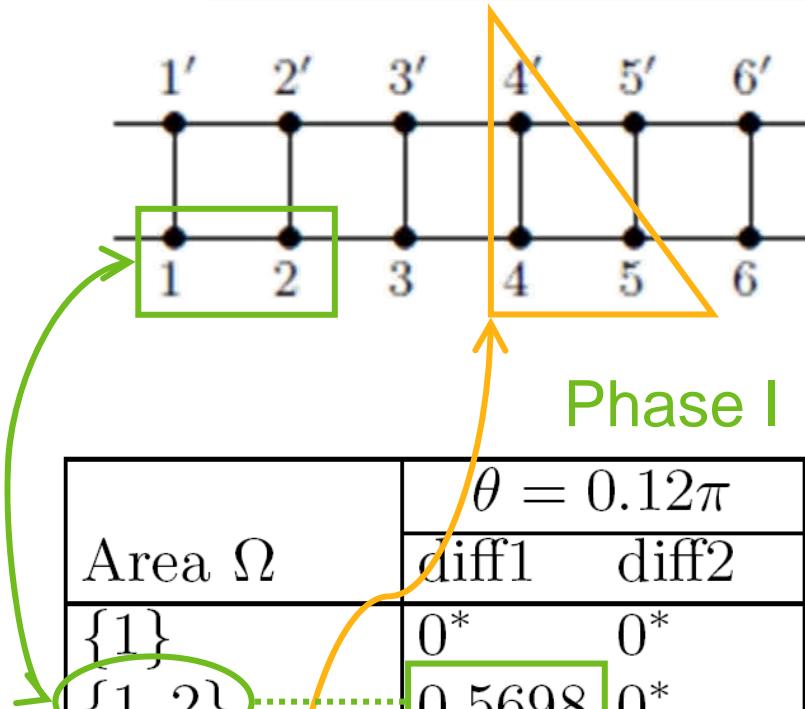
$$\mathcal{H} = \cos \theta \sum_{\square} \vec{S}_i \cdot \vec{S}_j + \sin \theta \sum_{\square} (P_4 + P_4^{-1})$$



2-fold degeneracy in both phases (wave-vectors $k=0$ and $k=\pi$)

What are the corresponding order parameters ?

Optimal order parameters on minimal area



$$\text{diff}_1 = \text{diff}(|1\rangle + |2\rangle, |1\rangle - |2\rangle)$$

$$\text{diff}_2 = \text{diff}(|1\rangle + i|2\rangle, |1\rangle - i|2\rangle)$$



□ Phase I: leg dimer order

$$\hat{O}_{\{1,2\}}^{opt} = 2\vec{S}_1 \cdot \vec{S}_2 + \frac{1}{2}$$

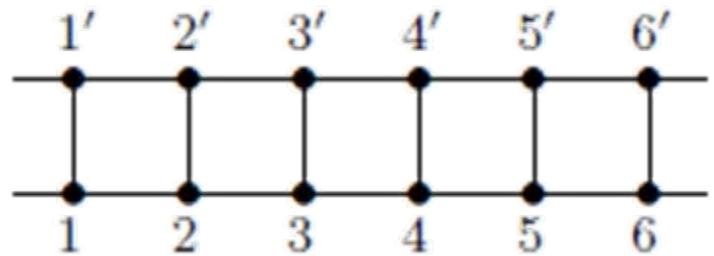
□ Phase II: scalar chiral order
(broken time-reversal sym.)

$$\hat{O}_{\{1,2,1'\}}^{opt} = \frac{4}{\sqrt{3}} \vec{S}_1 \cdot (\vec{S}_2 \times \vec{S}_{1'})$$

⇒ Reproduced known results !

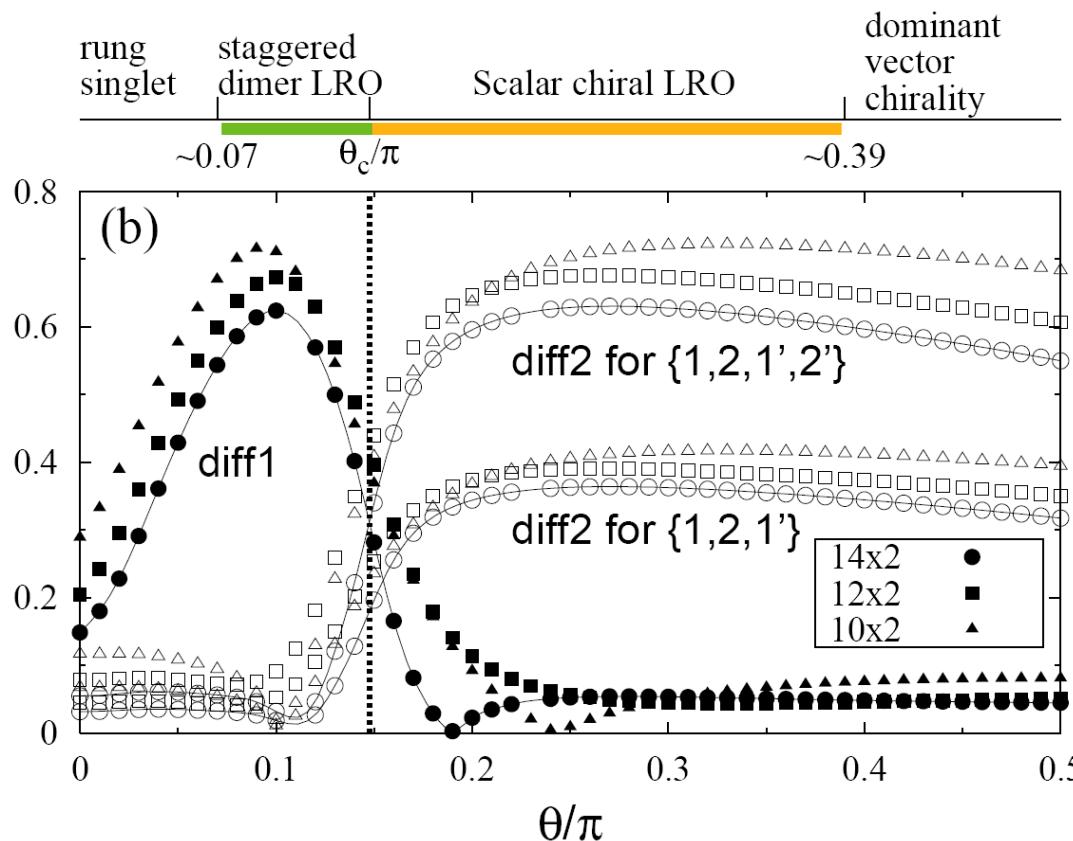
Numerical results on 14x2 system - periodic BC

Locating the phase transition with “diff”



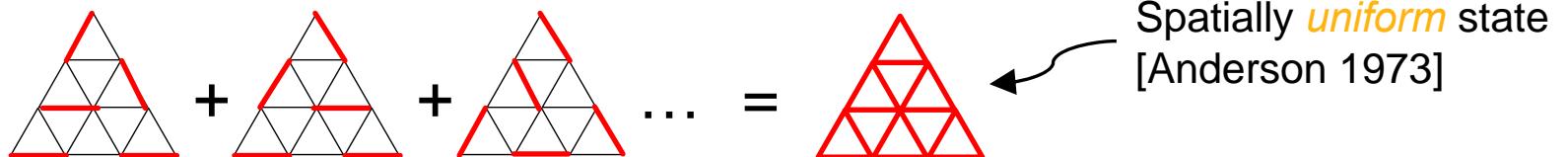
$$\text{diff}_1 = \text{diff}(|1\rangle + |2\rangle, |1\rangle - |2\rangle)$$

$$\text{diff}_2 = \text{diff}(|1\rangle + i|2\rangle, |1\rangle - i|2\rangle)$$

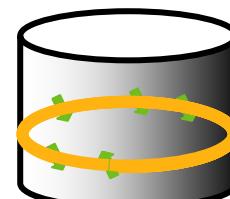
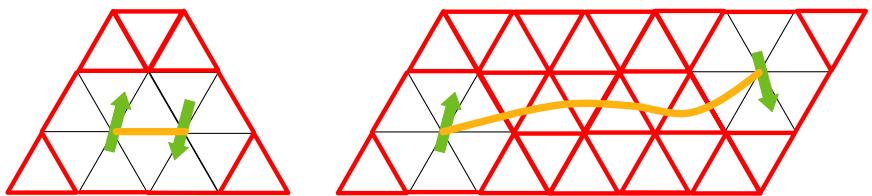


Short-ranged RVB states (Z_2 liquids)

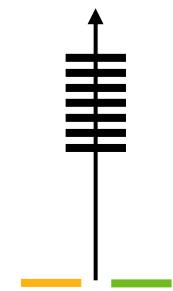
□ Unique ground-state ?



Creation, propagation and annihilation of a pair of spinons



Topological degeneracy
(X. G. Wen 1991) \leftrightarrow
fractionalization
See also Oshikawa & Senthil
[cond-mat/0506008](https://arxiv.org/abs/cond-mat/0506008)



2-fold degeneracy

□ Many examples/candidates

Spin models, Bose-Hubbard models, dimer models, etc.

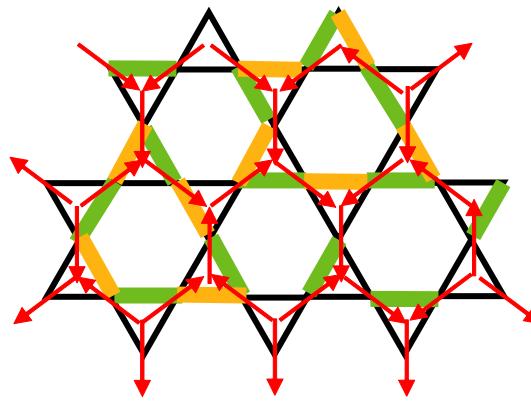
Read & Sachdev PRL **1991**; Kitaev, cond-mat **1997**; GM *et al.* PRB **1999**; Nayak & Shtengel PRB **2001**; Capriotti *et al.* PRL **2001**; Moessner & Sondhi PRL **2001**; Balents, Fisher & Girvin PRB **2002**; GM, Serban & Pasquier PRL **2002**; Moessner & Sondhi PRB **2003**; Fouet *et al.* PRB **2003**; X. G. Wen PRL **2003**; Ioffe *et al.* Nature **2002**; Douçot, Feigel'man & Ioffe PRL **2003**; Senthil & Motrunich PRB **2002**, PRL **2002**; S. Fujimoto PRB **2005**; Raman, Moessner & Sondhi PRB **2005**

an exactly solvable example...

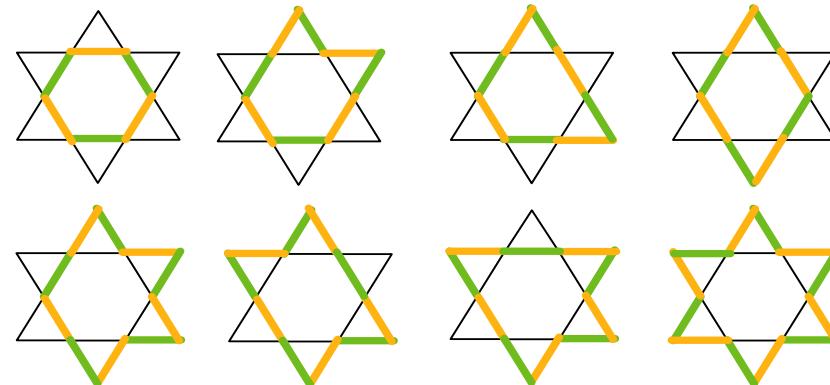
« Toy » dimer model realizing a short-range RVB liquid

- Quantum dimers on the kagome lattice

[GM, Serban & Pasquier PRL 2002]

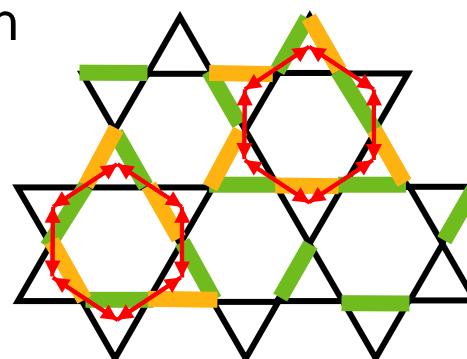
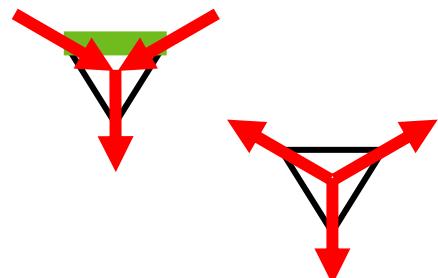


- Hamiltonian: all single-hexagon dimer hopping
(with the same amplitude)



Looks like a very complicated model... but

- Arrow representation

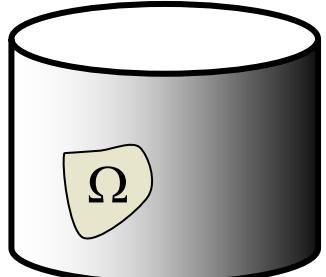


$\tau^z(i)$ = Flips the arrow i

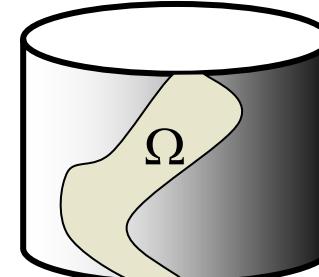
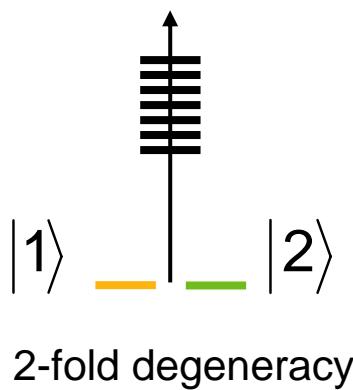
$$H = - \sum_{h \in \text{hexagon}} \prod_{i=1}^6 \underbrace{\tau_i^z}_{\text{dimer hoping}}$$

trivial in the τ^z variables !

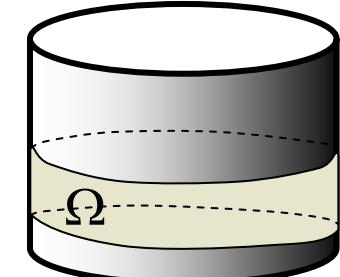
Absence of local order parameter in a short-range RVB liquid



Local area



Non-local areas



For any **local** area:

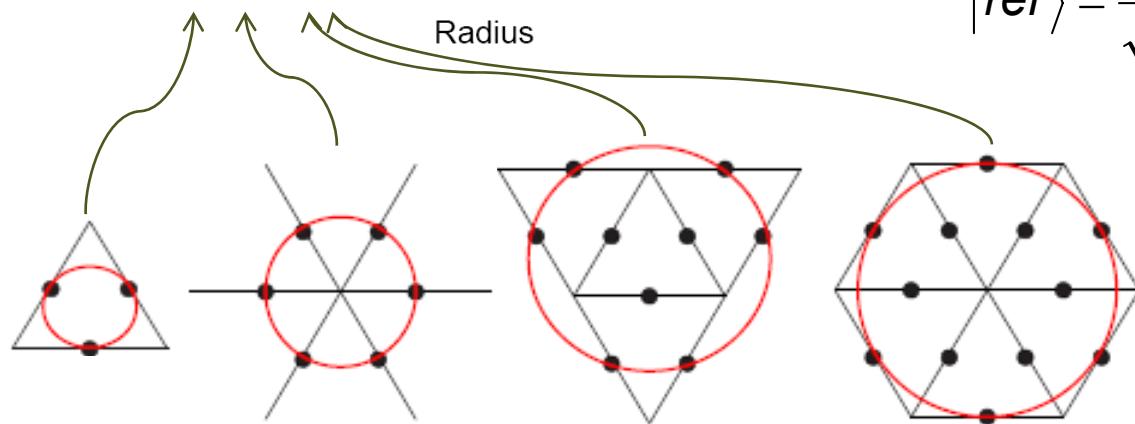
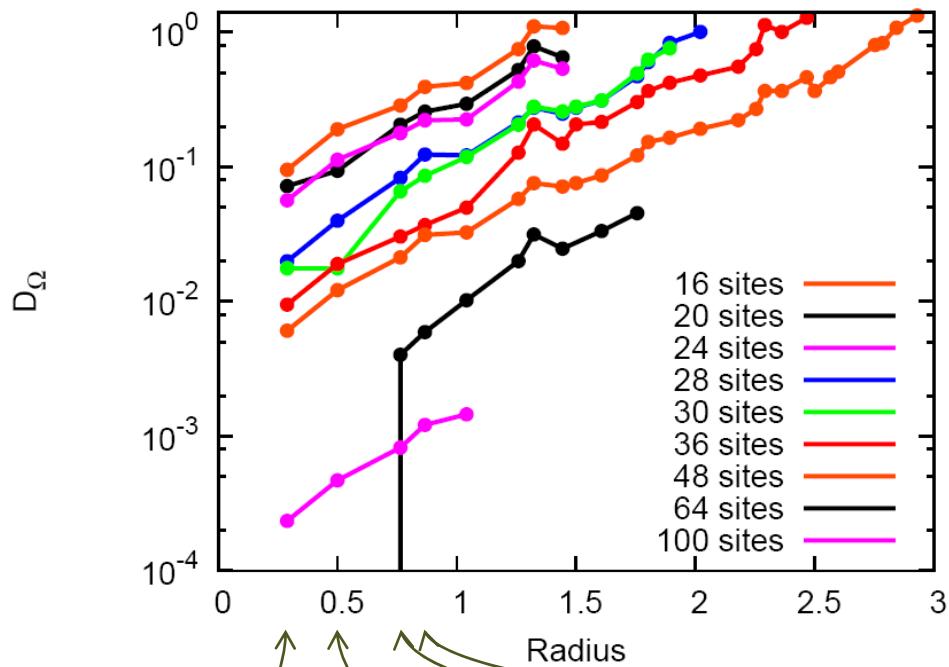
□ $D(|1\rangle, |2\rangle, \Omega) = \text{Max} \quad |A\rangle = \sum \alpha_i |i\rangle \quad |B\rangle = \sum \beta_i |i\rangle \quad \text{diff}(|A\rangle, |B\rangle, \Omega) = 0$

$$\begin{aligned} |A\rangle &= \sum \alpha_i |i\rangle \\ |B\rangle &= \sum \beta_i |i\rangle \\ \langle A|A\rangle &= 1, \langle B|B\rangle = 1 \end{aligned}$$

□ $|A\rangle = \sum \alpha_i |i\rangle, \quad \text{Tr}_{\bar{\Omega}}[|A\rangle\langle A|]$ is independent of $\{\alpha_i\}$

- Different ground-states are undistinguishable with local observables
Protection against decoherence for a qubit
[Kitaev 1997; Ioffe *et al.* Nature 2002; Ioffe, Feigel'man PRB 2002;
GM Pasquier, Mila, Lhuillier PRB 2005]

RVB liquid on the triangular lattice



□ Quantum dimer model [Moessner & Sondhi 2001]

$$H = -J \sum | \triangle \rangle \langle \triangle | + V \sum | \square \rangle \langle \square | + | \square \rangle \langle \triangle | + | \triangle \rangle \langle \square |$$

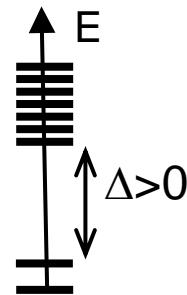
□ $D_\Omega(|1\rangle, |2\rangle, |3\rangle, |4\rangle) = \text{Max}_{\substack{|A\rangle = \sum \alpha_i |i\rangle \\ \langle A|A\rangle = 1}} \text{diff}(|A\rangle, |ref\rangle, \Omega)$

$$|ref\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^d |i\rangle$$

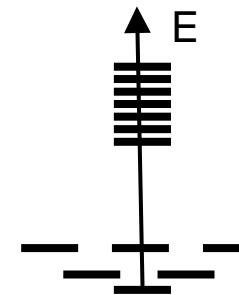
□ Calculations at the Rokhsar-Kivelson point ($J=V=1$) using direct enumeration and Pfaffians.

Conclusions

- If you see this :



or this



Use RDM's to compute D_Ω and \hat{O}_Ω^{opt}

- Application to other systems

- Other dimer liquids (work in progress)
- Kagome $s=1/2$?
- ...

Equivalence between def. 1 and def. 2

$\hat{O}_{triv} = \frac{1}{|G|} \sum_{g \in G} g \hat{O} g^{-1}$ commutes with any $g \in G$

$H = H_0 + \lambda \hat{O}_{triv}$ perturbed Hamiltonian (same symmetries as H_0)

$\lambda \ll 1 \Rightarrow$ no phase transition \Rightarrow stable ground state degeneracy

Degenerate perturbation theory described by $\langle i | \hat{O}_{triv} | j \rangle$

Stability of the degeneracy $\Rightarrow \langle i | \hat{O}_{triv} | j \rangle = c \delta_{ij}$

$|\Psi\rangle = \sum_{i=1}^n \alpha_i |i\rangle ; g|i\rangle = \sum_{i=1}^n R_{ij}(g) |i\rangle$ with $R(g)$ an $n \times n$ unitary matrix

$\Rightarrow \langle \Psi | \hat{O}_{triv} | \Psi \rangle = \frac{1}{n} \sum_{i=1}^n \langle i | \hat{O} | i \rangle$ indep. of the α_i